

## Some properties of concave operators

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Received: 21.01.2015

Accepted/Published Online: 27.01.2016

Final Version: 02.12.2016

**Abstract:** A bounded linear operator  $T$  on a Hilbert space  $\mathcal{H}$  is concave if, for each  $x \in \mathcal{H}$ ,  $\|T^2x\|^2 - 2\|Tx\|^2 + \|x\|^2 \leq 0$ . In this paper, it is shown that if  $T$  is a concave operator then so is every power of  $T$ . Moreover, we investigate the concavity of shift operators. Furthermore, we obtain necessary and sufficient conditions for N-supercyclicity of co-concave operators. Finally, we establish necessary and sufficient conditions for the left and right multiplications to be concave on the Hilbert–Schmidt class.

**Key words:** Concave operators, weighted shifts, N-supercyclicity

### 1. Introduction and preliminaries

Recall that a real valued function  $f$  on an interval  $I$  is *concave* if

$$f((1-t)a + tb) \geq (1-t)f(a) + tf(b)$$

whenever  $a, b \in I$  and  $0 \leq t \leq 1$ . Clearly,  $f$  is *convex* if and only if  $-f$  is concave. Moreover, a sequence  $(a_n)_n$  in  $\mathbb{R}$  is said to be concave if

$$a_{n+2} - 2a_{n+1} + a_n \leq 0 \quad (n = 0, 1, 2, \dots).$$

If  $I$  is an open interval it is known that every concave function on  $I$  is continuous. Besides, every continuous function  $f$  satisfying

$$f\left(\frac{a+b}{2}\right) \geq \frac{1}{2}[f(a) + f(b)] \quad a, b \in I,$$

is concave [14]. Some more facts on concave functions run as follows:

(i) A sequence  $(a_n)_n$  is concave if and only if the function  $f(t)$  defined on  $[0, \infty)$ , which is linear on each interval  $[n, n+1]$  and such that  $f(n) = a_n$  ( $n = 0, 1, 2, \dots$ ), is concave.

(ii) If  $f(t)$  is a concave function on  $[0, \infty)$ , then so is the function  $f(kt)$  for every  $k = 1, 2, \dots$ .

(iii) A nonnegative concave function  $f(t)$  on  $[0, \infty)$  is nondecreasing and  $\lim_{t \rightarrow \infty} f(t)^{1/t} = 1$ .

(iv) A nonnegative concave function  $f(t)$  on  $(-\infty, \infty)$  is constant.

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2010 AMS Mathematics Subject Classification: 47B99, 47B37, 47A16.

Let  $\mathcal{H}$  be a separable infinite dimensional Hilbert space, and let  $B(\mathcal{H})$  be the space of all bounded linear operators on  $\mathcal{H}$ . An operator  $T \in B(\mathcal{H})$  is said to be *concave* if, for all  $x \in \mathcal{H}$ ,

$$\|T^2x\|^2 - 2\|Tx\|^2 + \|x\|^2 \leq 0.$$

We remark that an operator  $T$  is concave if and only if the sequence  $(\|T^n x\|^2)_{n=0}^\infty$  forms a concave sequence for every  $x \in \mathcal{H}$ . Thus, (i) and (iii) imply that for every nonzero  $x$  in  $\mathcal{H}$ ,  $\lim_{n \rightarrow \infty} \|T^n x\|^{1/n} = 1$ .

The class of concave operators is closely related to the study of Brownian operators with respect to which the stochastic integral of a process with values in a separable Hilbert space has been defined. Indeed, Theorem B of [11] states that  $T$  is a concave operator with  $\|T\|^2 \leq 2$  if and only if it extends to a Brownian operator.

It is obvious that every isometry is a concave operator. As another class of concave operators, we may consider a class of composition operators defined on a discrete measure space. Suppose that  $X = \{(n, m) : n, m \in \mathbb{Z} \text{ such that } n \leq m\}$  and  $(a_n)_{n=-\infty}^\infty$  is a sequence of positive real numbers. Let  $\mu$  be the measure on the power set of  $X$  given by  $\mu((n, n)) = 1$  for  $n \in \mathbb{Z}$  and  $\mu((n, m)) = a_n$  for  $n < m$ . Consider the measurable function  $\varphi : X \rightarrow X$  given by  $\varphi((n, n)) = (n - 1, n - 1)$  for  $n \in \mathbb{Z}$  and  $\varphi((n, m)) = (n, m - 1)$  for  $n < m$ . Define the composition operator  $C_\varphi$  in  $L^2(X, \mu)$  by  $C_\varphi f = f \circ \varphi$ . Then  $C_\varphi$  is a bounded linear operator on  $L^2(X, \mu)$  if and only if  $(a_n)_{n=-\infty}^\infty$  is a bounded sequence. Moreover,  $C_\varphi$  is concave if and only if  $a_{n+1} \leq a_n$  for all integers  $n$ . Furthermore,  $C_\varphi$  is not unitarily equivalent to any orthogonal sum of weighted shifts or isometries; see [10, Example 4.4 and Remark 4.5]. Another class of concave operators consists of the Cauchy dual of the Bergman type operators. Note that an operator  $S$  in  $B(\mathcal{H})$  is said to be of Bergman type if

$$\|Sx + y\|^2 \leq 2(\|x\|^2 + \|Sy\|^2) \quad (x, y \in \mathcal{H})$$

and the operator  $T = S(S^*S)^{-1}$  is called the Cauchy dual of  $S$  (see the proof of Theorem 3.6 of [13]).

In this paper, we show that if  $T$  is a concave operator then so is all of its nonnegative powers. Moreover, we give necessary and sufficient conditions under which a forward unilateral weighted shift is concave. We also show that the only concave bilateral weighted shifts are isometries.

The linear dynamics of operators is a branch of operator theory that appeared during the study of the famous invariant subset (subspace) problem. The interest in studying supercyclicity dates back to 1974 [9].  $N$ -supercyclicity first originated in the work of Feldman [6]. Recall that for a subset  $E$  of a Hilbert space  $\mathcal{H}$  and for  $T \in B(\mathcal{H})$ , the orbit of  $E$  under  $T$ , denoted by  $orb(T, E)$ , is the set  $\{T^n x : n \geq 0, x \in E\}$ . For any integer  $n \geq 1$ , the operator  $T$  is  $N$ -supercyclic if  $\mathcal{H}$  has an  $N$ -dimensional subspace whose orbit under  $T$  is dense in  $\mathcal{H}$ . A one-supercyclic operator is called a supercyclic operator. Also, if the set  $E$  has only one element and  $orb(T, E)$  is dense in  $\mathcal{H}$  then  $T$  is called a hypercyclic operator. Clearly every hypercyclic operator is supercyclic and every supercyclic operator is an  $N$ -supercyclic operator, but the converses are not true [6]. Some good sources on the dynamics of operators include [1] and [8]. In this paper, we show that every concave operator is not  $N$ -supercyclic. Moreover, we obtain necessary and sufficient conditions for left and right multiplications to be concave on the Hilbert–Schmidt class of operators.

Throughout this paper,  $T$  is assumed to be a bounded linear operator on a Hilbert space  $\mathcal{H}$ . We begin with some easy observations. In the following result,  $\mathbb{D}$  denotes the open unit disc. Also,  $\sigma(T)$  and  $\sigma_{ap}(T)$  are, respectively, the spectrum and the approximate point spectrum of  $T$ .

**Proposition 1** *The approximate point spectrum of a concave operator  $T$  lies on the unit circle. Thus,  $\sigma(T) \subset \partial\mathbb{D}$  or  $\sigma(T) = \overline{\mathbb{D}}$ .*

**Proof** Take  $\lambda \in \sigma_{ap}(T)$  and suppose that  $(x_n)_n$  is a sequence in  $\mathcal{H}$  with  $\|x_n\| = 1$  for each  $n \in \mathbb{N}$  and

$$(T - \lambda I)(x_n) \rightarrow 0 \text{ as } n \rightarrow \infty.$$

Therefore,

$$\begin{aligned} | \|T^2x_n\| - |\lambda^2| | &\leq \|T^2x_n - \lambda^2x_n\| \\ &\leq \|T\| \|(T - \lambda)x_n\| + |\lambda| \|(T - \lambda)x_n\| \rightarrow 0 \end{aligned}$$

as  $n \rightarrow \infty$ , which implies that

$$(|\lambda|^2 - 1)^2 = \lim_{n \rightarrow \infty} [ \|T^2x_n\|^2 - 2\|Tx_n\|^2 + \|x_n\|^2 ] \leq 0.$$

Hence,  $|\lambda| = 1$ . Since  $\partial\sigma(T) \subseteq \sigma_{ap}(T)$ , we conclude that  $\sigma(T) \subseteq \partial\mathbb{D}$  or  $\sigma(T) = \overline{\mathbb{D}}$ . □

**Corollary 1** *The spectral radius of a concave operator is one.*

**Corollary 2** *Concave operators are not compact.*

**Proof** Suppose that  $T$  is a concave operator. Since it is compact,  $0 \in \sigma(T)$  and so  $\overline{\mathbb{D}} \subseteq \sigma(T)$ . However, this contradicts the fact that the spectrum of a compact operator is at most countable. □

## 2. Basic properties

Taking  $\Delta_T = T^*T - I$ , it is easily seen that  $T$  is a concave operator if and only if

$$T^* \Delta_T T \leq \Delta_T. \tag{1}$$

To prove that each power of every concave operator is concave, we need the following lemma. For simplicity we use  $\Delta_n$  instead of  $\Delta_{T^n}$  for every  $n \geq 1$ .

**Lemma 1** *If  $T$  is a concave operator then the following inequalities hold:*

$$(T^{k+1})^* \Delta_1 T^{k+1} \leq (T^k)^* \Delta_1 T^k \quad (k = 0, 1, \dots), \tag{2}$$

and for  $n = 2, 3, \dots$

$$(T^{n+k})^* \Delta_n T^{n+k} \leq \Delta_n \quad (k = 0, 1, \dots). \tag{3}$$

**Proof** Note that (2) follows immediately from (1). Suppose that (3) holds for some  $n$ . Since  $\Delta_{n+1} = T^* \Delta_n T + \Delta_1$  we can see from (3) and (2) that

$$\begin{aligned} (T^{n+1+k})^* \Delta_{n+1} T^{n+1+k} &= T^* \{ (T^{n+k+1})^* \Delta_n T^{n+k+1} \} T + (T^{n+k+1})^* \Delta_1 T^{n+k+1} \\ &\leq T^* \Delta_n T + \Delta_1 = \Delta_{n+1}, \end{aligned}$$

completing induction. □

**Theorem 1** *If  $T$  is concave then  $\Delta_T \geq 0$ ; that is,  $\|Tx\| \geq \|x\|$  for every  $x \in \mathcal{H}$ . Furthermore,  $T^n$  is concave for all  $n \geq 2$ .*

**Proof** It follows from (2) that

$$n\Delta_T \geq \sum_{k=1}^n (T^k)^* \Delta_T T^k = (T^{n+1})^* T^{n+1} - T^* T \geq -T^* T \quad (n = 1, 2, \dots).$$

Hence,

$$\Delta_T \geq \lim_{n \rightarrow \infty} \frac{-1}{n} T^* T = 0.$$

Finally, (3) with  $k = 0$  means that  $T^n$  is concave. □

**Theorem 2** *A concave operator  $T$  with  $\ker(T^*) = \{0\}$  is unitary.*

**Proof** The assumption  $\ker(T^*) = \{0\}$  means that  $\text{ran}(T)$  is dense in  $\mathcal{H}$ . This coupled with the property  $\|Tx\| \geq \|x\|$  ( $x \in \mathcal{H}$ ) implies that  $T$  is invertible. Then, since

$$\Delta_{T^{-1}} - (T^{-1})^* \Delta_{T^{-1}} T^{-1} = (T^{-2})^* \{ \Delta_T - T^* \Delta_T T \} T^{-2} \geq 0, \tag{4}$$

we can conclude that  $T^{-1}$  is concave, and hence  $\|T^{-1}x\| \geq \|x\|$  ( $x \in \mathcal{H}$ ). Combined with the property that  $\|Tx\| \geq \|x\|$  ( $x \in \mathcal{H}$ ) we conclude that  $T$  is unitary. □

**Corollary 3** *Every concave operator on a finite-dimensional Hilbert space is unitary.*

**Proof** By finite dimensionality and Theorem 1,  $\ker T^* = \ker T = \{0\}$ . □

Recall that an operator  $T$  is called co-concave if  $T^*$  is concave.

**Corollary 4** *A concave operator  $T$  is unitary if  $T$  is co-concave or  $T$  is normal.*

**Proof** If  $T^*$  is concave,  $\ker T^* = \{0\}$ . If  $T$  is normal,  $\ker T^* = \ker T = \{0\}$ . □

**Theorem 3** *Suppose that  $T$  is a concave operator and  $\mathcal{M}$  is a closed  $T$ -invariant subspace. Then the restriction  $T|_{\mathcal{M}}$  is concave. Furthermore, if  $\dim(\mathcal{M}) < \infty$ , then  $\mathcal{M}$  reduces  $T$ .*

**Proof** The first assertion is trivial. Write

$$T = \begin{pmatrix} T_{11} & T_{12} \\ 0 & T_{22} \end{pmatrix}$$

according to the decomposition  $\mathcal{H} = \mathcal{M} \oplus \mathcal{M}^\perp$ . Then, by concavity of  $T$ ,

$$0 \leq \Delta_T = \begin{pmatrix} T_{11}^* T_{11} - I_{\mathcal{M}} & T_{11}^* T_{12} \\ T_{12}^* T_{11} & T_{12}^* T_{12} + T_{22}^* T_{22} - I_{\mathcal{M}^\perp} \end{pmatrix}.$$

When  $\dim \mathcal{M} < \infty$ , by Corollary 3,  $T_{11}$  is unitary and consequently

$$0 \leq \begin{pmatrix} 0 & T_{11}^* T_{12} \\ T_{12}^* T_{11} & T_{12}^* T_{12} + T_{22}^* T_{22} - I_{\mathcal{M}^\perp} \end{pmatrix}.$$

Positivity of this block matrix implies that

$$\langle (T_{12}^* T_{12} + T_{22}^* T_{22} - I_{\mathcal{M}^\perp})g, g \rangle \geq -2\operatorname{Re} \langle T_{12}^* T_{11} h, g \rangle$$

for all  $h, g \in \mathcal{H}$ . Thus,  $T_{11}^* T_{12} = 0$  and hence  $T_{12} = 0$ . This means that  $\mathcal{M}$  reduces  $T$ . □

To prove the next result, we use the Berberian construction [2] [15].

**Proposition 2** (Lemma 2.7 of [15]) *Let  $\mathcal{H}$  be a complex Hilbert space. Then there exists a Hilbert space  $\mathcal{R} \supseteq \mathcal{H}$  and a unital linear map  $\Pi : B(\mathcal{H}) \rightarrow B(\mathcal{R})$  such that: (a)  $\Pi(ST) = \Pi(S)\Pi(T)$ ,  $\Pi(T^*) = (\Pi(T))^*$ ,  $\|\Pi(T)\| = \|T\|$ ;*

(b)  $S \leq T \implies \Pi(S) \leq \Pi(T)$ ;

(c)  $\sigma(\Pi(T)) = \sigma(T)$ ,  $\sigma_{ap}(\Pi(T)) = \sigma_{ap}(T) = \sigma_p(\Pi(T))$ .

**Corollary 5** *For a concave operator  $T$  the following statements hold.*

(a) *Every eigenvalue of  $T$  is a normal eigenvalue; that is,  $Ta = \zeta a$  implies  $T^*a = \bar{\zeta}a$ .*

(b) *If  $\zeta \in \sigma_{ap}(T)$  then  $\bar{\zeta} \in \sigma_{ap}(T^*)$ .*

**Proof** (a) Since  $\mathcal{M} = \mathbb{C}a$  is a one-dimensional invariant subspace of  $T$ , by Theorem 3 it reduces  $T$ , which implies that  $T^*a = \bar{\zeta}a$ .

(b) Suppose that  $\zeta \in \sigma_{ap}(T) = \sigma_p(\Pi(T))$ . Since  $\Pi(T)$  is a concave operator, by applying (a), we see that  $\bar{\zeta} \in \sigma_p((\Pi(T))^*) = \sigma_p(\Pi(T^*)) = \sigma_{ap}(T^*)$ . □

### 3. The concavity of shifts operators

An operator  $T \in B(\mathcal{H})$  is called a forward unilateral (bilateral) weighted shift if there is an orthonormal basis  $\{e_n : n \geq 0\}$  ( $\{e_n : n \in \mathbb{Z}\}$ ) and a sequence of bounded complex numbers  $\{w_n : n \geq 0\}$  ( $\{w_n : n \in \mathbb{Z}\}$ ) such that  $Te_n = w_n e_{n+1}$  for all  $n \geq 0$  ( $n \in \mathbb{Z}$ ). It is known that a weighted shift operator  $T$  is unitarily equivalent to a weighted shift operator with a nonnegative weight sequence. We can assume that  $w_n \geq 0$  for all  $n$  (see [5], page 53). In addition,  $T$  is injective if and only if  $w_n > 0$  for every  $n$ . Recall that the adjoint of  $T$  is called a backward unilateral (bilateral) shift. It is also known that  $T$  is an isometry if and only if  $w_n = 1$  for all  $n$ .

Let  $w_n = \sqrt{\frac{2^{n+1}}{2^n}}$  and  $Te_n = w_n e_{n+1}$  for every  $n \geq 0$ . Then  $T$  is a concave forward weighted shift operator, due to

$$\|T^2 e_n\|^2 - 2\|T e_n\|^2 + 1 = \frac{1 - 2^n}{2^{2n} + 1} \leq 0.$$

As another example of such operators, take  $w_0 = \sqrt{2}$  and  $w_n = 1$  for  $n \geq 1$ .

In spite of the above examples, the only concave bilateral weighted shifts are unitaries. Thanks to the fact that the kernel of such an operator is  $\{0\}$ , all weights are positive, which in turn implies that the kernel of its adjoint is  $\{0\}$ .

In the next result, we give a necessary and sufficient condition for a unilateral forward weighted shift to be concave.

**Proposition 3** *A unilateral forward weighted shift with weight sequence  $(w_n)_n$  is a concave operator if and only if*

$$1 \leq w_0 \text{ and } 1 \leq w_{n+1} \leq \sqrt{2 - w_n^{-2}} \quad (n = 0, 1, 2, \dots). \tag{5}$$

Moreover, in this case  $(w_n)_n$  is decreasing and converges to 1.

**Proof** Let  $T$  be a unilateral forward weighted shift with weight sequence  $(w_n)_n$ . If  $T$  is concave then  $w_n = \|Te_n\| \geq 1$  for all  $n \geq 0$ . Now the proof follows from the equality

$$\begin{aligned} \|T^2e_n\|^2 - 2\|Te_n\|^2 + \|e_n\|^2 &= w_n^2w_{n+1}^2 - 2w_n^2 + 1 \\ &= w_n^2(w_{n+1}^2 - (2 - w_n^{-2})). \end{aligned}$$

On the other hand, since  $\sqrt{2 - w_n^{-2}} \leq w_n$ , the sequence  $w_n$  is decreasing; thus, (5) implies that  $\lim_{n \rightarrow \infty} w_n = 1$ . □

Note that since every concave operator is injective, there is not any concave backward unilateral weighted shift operator.

#### 4. N-Supercyclicity of concave operators

**Proposition 4** *No concave operator is N-supercyclic.*

**Proof** Take a concave operator  $T \in B(\mathcal{H})$ . Assume, on the contrary, that there exists a subspace  $E$  of  $\mathcal{H}$ , of dimension  $N$ , such that  $\overline{orb(T, E)} = \mathcal{H}$ . The subspace  $E$  has an empty interior because  $E \neq \mathcal{H}$ . Moreover,

$$\mathcal{H} = \overline{orb(T, E)} = \overline{E} \cup (\bigcup_{n=1}^{\infty} T^n \overline{E}),$$

which implies that  $\mathcal{H} = \overline{\bigcup_{n=1}^{\infty} T^n E}$ . Hence,  $T$  must have a dense range. Then the operator  $T$  is invertible. Thus, applying (4), we see that  $T^{-1}$  is also a concave operator. Therefore,  $\|T^{-1}x\| \geq \|x\|$  for all  $x \in \mathcal{H}$ . Thus,

$$\|Tx\| \geq \|x\| = \|T^{-1}Tx\| \geq \|Tx\|.$$

Hence,  $T$  is a unitary operator that cannot be N-supercyclic (see Theorem 4.9 of [6], and see also [3]). □

**Theorem 4** *Suppose that  $T \in B(\mathcal{H})$  is a co-concave, N-supercyclic operator. Then  $\bigcap_{n \geq 0} T^{*n} \mathcal{H} = (0)$ .*

**Proof** Put  $M = \bigcap_{n \geq 0} T^{*n} \mathcal{H}$ . Clearly  $M$  is an invariant subspace of  $T^*$  and also of  $T$ . Indeed, since  $T^*$  is bounded below,  $TT^*$  is invertible. Let  $S = (TT^*)^{-1}T$ . Thus, for every  $x \in \mathcal{H}$ ,

$$\|T^*Sx\|^2 = \langle S^*TT^*Sx, x \rangle = \langle S^*Tx, x \rangle = \langle x, T^*Sx \rangle \leq \|x\| \|T^*Sx\|,$$

which implies that  $\|T^*Sx\| \leq \|x\|$ . However, since  $\|Sx\| \leq \|T^*Sx\|$ , we conclude that  $\|Sx\| \leq \|x\|$  for all  $x \in \mathcal{H}$ . Moreover, for every nonnegative integer  $n$ , each  $x \in M$  can be written as  $x = T^{*n}x_n$  for some  $x_n \in \mathcal{H}$  and so  $Sx = T^{*n-1}x_n \in M$ . Hence,  $SM \subseteq M$ .

On the other hand, if  $x \in M$ , then  $x = T^{*2}y$  for some  $y \in \mathcal{H}$ . Thus,

$$\|S^2x\|^2 - 2\|Sx\|^2 + \|x\|^2 = \|y\|^2 - 2\|T^*y\|^2 + \|T^{*2}y\|^2 \leq 0,$$

which states that the operator  $S : M \rightarrow M$  is a concave operator. Thus, if  $x \in M$  then  $\|Sx\| \geq \|x\|$ ; hence,  $\|Sx\| = \|x\|$ . Moreover, since  $ST^* = I$ , the operator  $S : M \rightarrow M$  is onto, and it is also injective, so  $ST^*x = T^*Sx = x$ . Furthermore,

$$\|x\| = \|ST^*x\| = \|T^*x\|,$$

which implies that  $TT^*x = x$ . Consequently,  $Tx = T(T^*Sx) = Sx \in M$ ; i.e.  $TM \subseteq M$ . Moreover, we deduce that  $T : M \rightarrow M$  is in fact a unitary operator.

In continuation, we argue by contradiction and we assume that the subspace  $M$  is nonzero. We also suppose that there exists an  $N$ -dimensional subspace  $E$  of  $\mathcal{H}$  such that  $orb(T, E)$  is dense in  $\mathcal{H}$ . Let  $(h_1, \dots, h_N)$  be a basis of  $E$  and suppose that  $h_i = g_i \oplus k_i$ ,  $1 \leq i \leq N$  where  $g_i \in M$  and  $k_i \in M^\perp$ . If  $g_i = 0$  for all  $i$  then  $\mathcal{H} = M^\perp$ , which is impossible, so  $g_i \neq 0$  for some  $i$ . Take  $f \in M$ , and let  $\epsilon > 0$  be arbitrary. Then there are  $n \geq 0$  and  $\alpha_1, \dots, \alpha_N$  in  $\mathbb{C}$  such that

$$\left\| \sum_{i=1}^N \alpha_i T^n g_i - f \right\| \leq \left\| \sum_{i=1}^N \alpha_i T^n (g_i \oplus k_i) - f \oplus 0 \right\| < \epsilon.$$

Thus, taking  $F = span\{g_1, \dots, g_N\}$ , we see that  $\overline{orb(T|_M, F)} = M$ . Therefore,  $T|_M$  is an  $N$ -supercyclic unitary operator and this is absurd.  $\square$

As can be derived from the proof of Theorem 4, for a co-concave operator  $T$ , if  $M := \cap_{n \geq 0} T^{*n}\mathcal{H}$ , then  $T : M \rightarrow M$  is an isometry. Considering the fact that isometries have nontrivial invariant subspaces [7], we obtain the following corollary.

**Corollary 6** *Suppose that  $T \in B(\mathcal{H})$  is a co-concave operator such that  $\cap_{n \geq 0} T^{*n}\mathcal{H} \neq (0)$ . Then  $T$  has a nontrivial invariant subspace.*

To prove the next theorem, we need the supercyclicity criterion due to Salas [12].

**Theorem 5 (Supercyclicity criterion.)** *Suppose that  $X$  is a separable Banach space and  $T$  is a bounded operator on  $X$ . If there is an increasing sequence of positive integers  $(n_k)_{k \in \mathbb{N}}$  and two dense sets  $D_1, D_2 \subseteq X$  such that*

- (1) *there exists a function  $S : D_2 \rightarrow D_2$  satisfying  $TSx = x$  for all  $x \in D_2$ ,*
- (2)  *$\|T^{n_k}x\|, \|S^{n_k}y\| \rightarrow 0$  for every  $x \in D_1$  and  $y \in D_2$ ,*

*then  $T$  is supercyclic.*

**Theorem 6** *Suppose that  $T$  is a co-concave operator such that  $\cap_{n \geq 0} T^{*n}\mathcal{H} = (0)$ ; then  $T$  satisfies the supercyclicity criterion.*

**Proof** Since  $T^*$  is bounded below it is left invertible and so  $T$  is right invertible. Therefore, it admits a complete set of eigenvectors. Thus, if for every positive real number  $r$ , we denote  $\mathbb{D}_r = \{z \in \mathbb{C} : |z| < r\}$ , then  $\mathcal{H} = \bigvee_{\mu \in \mathbb{D}_r} \ker(T - \mu)$  (see [4], part (A) of the lemma). Let  $S = T^*(TT^*)^{-1}$  and choose  $r > 0$  so that  $r < \frac{1}{\|S\|}$ , and take

$$D_1 = D_2 = \text{span}\{\ker(T - \mu) : \mu \in \mathbb{D}_r\}.$$

Now, if  $x \in D_1 = D_2$ , then

$$\|T^n x\| \|S^n x\| \leq |\mu|^n \|S\|^n \|x\| \leq (r\|S\|)^n \|x\| \rightarrow 0$$

as  $n \rightarrow \infty$ . Finally,  $T^n S^n x = x$  for every  $x \in \mathcal{H}$  and every  $n \geq 0$ . Hence, the operator  $T$  satisfies the supercyclicity criterion.  $\square$

Two direct consequences of the above theorem run as follows:

**Corollary 7** *If  $T$  is a co-concave operator in  $B(\mathcal{H})$  then  $T$  is supercyclic if and only if  $\bigcap_{n \geq 0} T^{*n} \mathcal{H} = (0)$ .*

**Corollary 8** *A co-concave operator is supercyclic if and only if it is  $N$ -supercyclic.*

Now, as an application of the above result, we present an example. Recall that the Dirichlet space  $\mathcal{D}$  is the set of all functions analytic on the open unit disc  $\mathbb{D}$  for which

$$\int_{\mathbb{D}} |f'(z)|^2 dA(z) < \infty,$$

where  $dA(z)$  denotes the normalized Lebesgue area measure on  $\mathbb{D}$ . The inner product on  $\mathcal{D}$ , which makes it into a Hilbert space, is defined by

$$\langle f, g \rangle = f(0)\overline{g(0)} + \int_{\mathbb{D}} f'(z)\overline{g'(z)} dA(z).$$

Thus, the associated norm of a function  $f$  in  $\mathcal{D}$  is given by

$$\|f\|_{\mathcal{D}}^2 = |f(0)|^2 + \int_{\mathbb{D}} |f'(z)|^2 dA(z).$$

**Example 1** *Let  $M_z$  be the multiplication operator by the independent variable  $z$  on Dirichlet space  $\mathcal{D}$  defined by  $(M_z f)(\zeta) = \zeta f(\zeta)$ ,  $\zeta \in \mathbb{D}$ . If  $f_n(\zeta) = \zeta^n$ ,  $n = 0, 1, 2, \dots$  then it is easily seen that*

$$\|M_z^2 f_n\|_{\mathcal{D}}^2 - 2\|M_z f_n\|_{\mathcal{D}}^2 + \|f_n\|_{\mathcal{D}}^2 = 0,$$

*which implies that  $M_z$  is a concave operator on  $\mathcal{D}$ . Moreover,  $\bigcap_{n \geq 0} M_z^n \mathcal{D} = (0)$ . Hence,  $T = M_z^*$  is supercyclic on  $\mathcal{D}$ .*



**5. Concave operators on the Hilbert–Schmidt class**

The Hilbert–Schmidt class,  $C_2(\mathcal{H})$ , is the class of all bounded operators  $S$  defined on a Hilbert space  $\mathcal{H}$ , satisfying

$$\|S\|_2^2 = \sum_{n=1}^{\infty} \|Se_n\|^2 < \infty,$$

where  $\|\cdot\|$  is the norm on  $\mathcal{H}$  induced by its inner product. We recall that  $C_2(\mathcal{H})$  is a Hilbert space equipped with the inner product defined by  $\langle S, T \rangle = \text{tr}(T^*S)$  in which  $\text{tr}(T^*S)$  denotes the trace of  $T^*S$ . Furthermore,  $C_2(\mathcal{H})$  is an ideal of the algebra of all bounded operators on  $\mathcal{H}$ . Besides, the Hilbert–Schmidt class contains the finite rank operators as a dense linear manifold [5].

For any bounded operator  $T$  on a Hilbert space  $\mathcal{H}$ , the left multiplication operator  $L_T$  and the right multiplication operator  $R_T$  on  $C_2(\mathcal{H})$  are defined by  $L_T(S) = TS$  and  $R_T(S) = ST$  for every  $S \in C_2(\mathcal{H})$ . Moreover,  $L_T^* = L_{T^*}$  and  $R_T^* = R_{T^*}$ . In the next theorem, we see the relation between concavity of the operators  $T, L_T$ , and  $R_T$ .

**Theorem 7** *Suppose that  $\mathcal{H}$  is a Hilbert space and  $T \in B(\mathcal{H})$ . Then the following statements are equivalent:*

- (a)  $T$  is concave.
- (b)  $L_T$  is concave.
- (c)  $R_T$  is co-concave.

**Proof** Observe that (a) and (b) are equivalent, thanks to the fact that  $T \mapsto L_T$  is a  $C^*$ -(into) isomorphism and  $T \geq 0$  iff  $L_T \geq 0$ . Indeed,

$$\Delta_{L_T} - L_T^* \Delta_{L_T} L_T = L_{\Delta_T - T^* \Delta_T T}.$$

Now, suppose that  $L_T$  is concave. Taking into account that  $(R_T)^* = R_{T^*}$ , we will show that the operator  $R_{T^*}$  is concave. Let  $S \in C_2(\mathcal{H})$ . Then

$$\|R_{T^*}^2(S)\|_2 = \|T^2 S^*\|_2 = \|L_T^2 S^*\|_2.$$

Similarly,  $\|R_{T^*}(S)\|_2 = \|L_T S^*\|_2$ . Hence:

$$\|R_{T^*}^2(S)\|_2^2 - 2\|R_{T^*}(S)\|_2^2 + \|S\|_2^2 = \|L_T^2(S^*)\|_2^2 - 2\|L_T(S^*)\|_2^2 + \|S^*\|_2^2 \leq 0.$$

Thus,  $R_{T^*}$  is concave.

At last, suppose that  $R_T$  is co-concave. Taking  $S \in C_2(\mathcal{H})$ , we observe that

$$\begin{aligned} \sum_{n=1}^{\infty} [\|T^2 S^* e_n\|^2 - 2\|T S^* e_n\|^2 + \|S^* e_n\|^2] &= \|T^2 S^*\|_2^2 - 2\|T S^*\|_2^2 + \|S^*\|_2^2 \\ &= \|R_{T^*}^2(S)\|_2^2 - 2\|R_{T^*}(S)\|_2^2 + \|S\|_2^2 \leq 0. \end{aligned}$$

Now, for  $h \in \mathcal{H}$ , let  $S_k$  be the rank one operator defined by

$$S_k f = \langle f, h \rangle e_k.$$

Then

$$\|T^2h\|^2 - 2\|Th\|^2 + \|h\|^2 = \sum_{n=1}^{\infty} [\|T^2S_k^*e_n\|^2 - 2\|T^2S_k^*e_n\|^2 + \|S_k^*e_n\|^2] \leq 0,$$

which implies that  $T$  is a concave operator.  $\square$

It follows from Theorem 7 and Proposition 4 that the left multiplication operator of a concave operator is not N-supercyclic. However, as we are going to see in the next example, its right multiplication operator may be supercyclic.

**Example 2** Let  $T$  be a concave unilateral weighted shift operator defined by  $Te_n = w_n e_{n+1}$ ,  $n \geq 1$ . If  $S \in \cap_{n \geq 0} (R_T^*)^n(C_2(\mathcal{H}))$  then there is a sequence  $(S_n)_{n \geq 1}$  of operators in  $C_2(\mathcal{H})$  such that  $S = S_n T^{*n}$  for each  $n \in \mathbb{N}$ , but  $T^{*n}e_n = 0$  for all  $n \geq 1$  and so  $S \equiv 0$ . Now Corollary 7 implies that  $R_T$  is supercyclic.

We remark that if  $T$  is a concave bilateral weighted shift then  $Te_n = e_{n+1}$  for each  $n \in \mathbb{Z}$ ; thus, if  $S \in C_2(\mathcal{H})$ , then

$$\|R_T S\|_2^2 = \sum_{n \in \mathbb{Z}} \|STe_n\|^2 = \sum_{n \in \mathbb{Z}} \|Se_n\|^2 = \|S\|_2^2.$$

Similarly,  $\|R_T^* S\|_2 = \|S\|_2$ . Hence,  $R_T$  is a unitary operator that is not N-supercyclic.

### Acknowledgments

The authors would like to thank Prof T Ando for his valuable comments. This work was supported in part by grant N0 16/91/3/66, Department of Mathematics, Hamedan University of Technology.

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