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## Weingarten quadric surfaces in a Euclidean 3-space

*Min Hee Kim and Dae Won Yoon*

### Abstract

In this paper, we study quadric surfaces in a Euclidean 3-space. Furthermore, we classify quadric surfaces in a Euclidean 3-space in terms of the Gaussian curvature and the mean curvature.

**Key Words:** Quadric surface, Weingarten surface, Gaussian curvature, mean curvature.

### 1. Introduction

A Weingarten surface is a surface on which there exists the Jacobi equation  $\Phi(k_1, k_2) = \det \begin{pmatrix} (k_1)_s & (k_1)_t \\ (k_2)_s & (k_2)_t \end{pmatrix} = 0$  between the principal curvatures  $k_1, k_2$  on a surface, or equivalently, the Jacobi equation  $\Psi(H, K) = 0$  between the Gaussian curvature  $K$  and the mean curvature  $H$  on a surface, where  $(k_1)_s = \frac{\partial k_1}{\partial s}$  and  $(k_2)_t = \frac{\partial k_2}{\partial t}$ .

On the other hand, if a surface satisfies a linear equation  $ak_1 + bk_2 = c$  or  $aK + bH = c$  for some real numbers  $a, b, c$  with  $(a, b) \neq (0, 0)$ , then it is said to be a linear Weingarten surface.

For the study of these surfaces, W. Kühnel ([5]) investigated ruled Weingarten surface in a Euclidean 3-space  $\mathbb{E}^3$ . F. Dillen and W. Kühnel ([2]) and Y. H. Kim and D. W. Yoon ([4]) gave a classification of ruled Weingarten surfaces and ruled linear Weingarten surfaces in a Minkowski 3-space  $\mathbb{E}_1^3$ , respectively. D. W. Yoon ([10]) classified ruled linear Weingarten surface in  $\mathbb{E}^3$ . Recently, M. I. Munteanu and I. Nistor ([9]) and R. López ([6, 7]) studied polynomial translation (linear) Weingarten surfaces and a cyclic linear Weingarten surface in a Euclidean 3-space, respectively. In [8] R. López classified all parabolic linear Weingarten surfaces in hyperbolic 3-space.

In this paper, we study quadric surfaces in a Euclidean 3-space and prove the following classification theorem.

**Theorem A.** *Let  $M$  be a quadric surface in a Euclidean 3-space with non-zero Gaussian curvature everywhere. If  $M$  satisfies the Jacobi equation with respect to the Gaussian curvature  $K$  and the mean curvature  $H$ , that is,*

$$\Psi(K, H) = 0, \tag{1.1}$$

then  $M$  is an open part of one of a hyperboloid of two sheets, a hyperboloid of one sheet, an ellipsoid or an elliptic paraboloid.

Throughout this paper, we assume that all objects are smooth and all surfaces are Riemannian, unless otherwise mentioned.

## 2. Weingarten quadric surfaces in $\mathbb{E}^3$

A subset  $M$  of a Euclidean 3-space  $\mathbb{E}^3$  is called a quadric surface if it is the set of points  $(x_1, x_2, x_3)$  satisfying the following equation of the second degree:

$$\sum_{i=1}^3 a_{ij}x_i x_j + \sum_{i=1}^3 b_i x_i + c = 0, \quad (2.1)$$

where  $a_{ij}, b_i, c$  are all real numbers. Suppose that  $M$  is not a plane. Then  $A$  is not a zero matrix and we may assume without loss of generality that the matrix  $A = (a_{ij})$  is symmetric. By applying a coordinate transformation in  $\mathbb{E}^3$  if necessary,  $M$  is either ruled surface, or one of the following two kinds ([1]):

$$x_3^2 - ax_1^2 - bx_2^2 = c, \quad abc \neq 0 \quad (2.2)$$

or

$$x_3 = \frac{a}{2}x_1^2 + \frac{b}{2}x_2^2, \quad a > 0, b > 0. \quad (2.3)$$

If a surface satisfies the equation (2.2), it is said to be a *quadric surface of the first kind* and we call a surface satisfying (2.3) a *quadric surface of the second kind*.

Let  $x : M \rightarrow \mathbb{E}^3$  be a quadric surface of the first kind in  $\mathbb{E}^3$ . Then  $M$  is parametrized by

$$x(u, v) = (u, v, (au^2 + bv^2 + c)^{\frac{1}{2}}). \quad (2.4)$$

Let's denote the function  $au^2 + bv^2 + c$  by  $W$ . Then, the components  $E, F$  and  $G$  of the first fundamental form are given by

$$E = 1 + \frac{a^2u^2}{W}, \quad F = \frac{abuv}{W}, \quad G = 1 + \frac{b^2v^2}{W}.$$

For later use, we define smooth function  $q$

$$q = \|x_u \times x_v\|^2 = 1 + \frac{a^2u^2}{W} + \frac{b^2v^2}{W}. \quad (2.5)$$

Then, the unit normal vector filed  $U$  of the surface  $M$  is given by

$$U = \frac{1}{q^{\frac{1}{2}}} \left( -\frac{au}{W^{\frac{1}{2}}}, -\frac{bv}{W^{\frac{1}{2}}}, 1 \right),$$

leading to the components of the second fundamental form on  $M$

$$e = \frac{1}{q^{\frac{1}{2}}W^{\frac{3}{2}}}(aW - a^2u^2), \quad f = -\frac{abuv}{q^{\frac{1}{2}}W^{\frac{3}{2}}}, \quad g = \frac{1}{q^{\frac{1}{2}}W^{\frac{3}{2}}}(bW - b^2v^2).$$

Hence, the Gaussian curvature  $K$  and the mean curvature  $H$  are given respectively, by

$$K = \frac{1}{q^2 W^2} abc, \tag{2.6}$$

$$H = \frac{1}{2q^{\frac{3}{2}} W^{\frac{3}{2}}} H_1, \tag{2.7}$$

where  $H_1 = (a + b)c + (ab + a^2b)u^2 + (ab + ab^2)v^2$ . From (2.6) a quadric surface of the first kind given by (2.4) has a non-zero Gaussian curvature everywhere.

Differentiating  $K$  and  $H$  with respect to  $u$  and  $v$  respectively, we get

$$\begin{cases} K_u &= -\frac{4a^2(a+1)bc}{q^3 W^3} u, \\ K_v &= -\frac{4ab^2(b+1)c}{q^3 W^3} v, \end{cases} \tag{2.8}$$

$$\begin{cases} H_u &= -\frac{1}{4q^{\frac{5}{2}} W^{\frac{7}{2}}} \{6a(a+1)uH_1W - 4uW(ab + a^2b)(W + a^2u^2 + b^2v^2)\}, \\ H_v &= -\frac{1}{4q^{\frac{5}{2}} W^{\frac{7}{2}}} \{6b(b+1)vH_1W - 4vW(ab + ab^2)(W + a^2u^2 + b^2v^2)\}. \end{cases} \tag{2.9}$$

Suppose that  $M$  is a quadric surface of the first kind satisfying the condition (1.1) . Then, we have

$$K_u H_v - K_v H_u = 0. \tag{2.10}$$

Equation (2.10) together with (2.8) and (2.9) becomes

$$\begin{aligned} &a^2(a+1)bcu\{6b(b+1)vH_1W - 4vW(ab + ab^2)(W + a^2u^2 + b^2v^2)\} \\ &- ab^2(b+1)cv\{6a(a+1)uH_1W - 4uW(ab + a^2b)(W + a^2u^2 + b^2v^2)\} = 0. \end{aligned} \tag{2.11}$$

The direct computation of the left hand side of (2.11) gives a polynomial in  $u$  and  $v$  with constants as the coefficients by adjusting the power of the functions  $W$  and  $H_1$ . Therefore, the coefficients of  $u^5v$  and  $uv^5$  in (2.11) give, respectively

$$\begin{aligned} &-4a^4b^2c(a+1)^2(b+1)(a-b) = 0, \\ &-4a^2b^4c(a+1)(b+1)^2(a-b) = 0. \end{aligned}$$

Thus, we have  $a = -1$ ,  $b = -1$  or  $a = b$  because of  $abc \neq 0$ . In this case, equation (2.11) holds identically.

**1. Case  $a = b$ .** Then a parametrization of  $M$  is given by

$$x(u, v) = (u, v, (au^2 + av^2 + c)^{\frac{1}{2}}).$$

(1-i) If  $a, c > 0$ , then  $M$  is an open part of a hyperboloid of two sheets defined by

$$-\frac{x^2}{p^2} - \frac{y^2}{p^2} + z^2 = r^2 \tag{2.12}$$

for some non-zero constants  $p$  and  $r$ .

(1-ii) If  $a > 0$  and  $c < 0$ , then then  $M$  is an open part of a hyperboloid of one sheet given by

$$\frac{x^2}{p^2} + \frac{y^2}{p^2} - z^2 = r^2. \quad (2.13)$$

(1-iii) If  $a < 0$  and  $c > 0$ , then  $M$  is given by

$$\frac{x^2}{p^2} + \frac{y^2}{p^2} + z^2 = r^2, \quad (2.14)$$

which is the equation of an ellipsoid.

The case of  $a, c < 0$  can never occur.

**2. Case**  $a = -1$ . Then a parametrization of  $M$  is given by

$$x(u, v) = (u, v, (-u^2 + bv^2 + c)^{\frac{1}{2}}).$$

(2-i) If  $b, c > 0$ , then  $M$  is an open part of a hyperboloid of one sheet defined by

$$x^2 - \frac{y^2}{p^2} + z^2 = r^2 \quad (2.15)$$

for some non-zero constants  $p$  and  $r$ .

(2-ii) If  $b > 0$  and  $c < 0$ , then then  $M$  is an open part of a hyperboloid of two sheets given by

$$-x^2 + \frac{y^2}{p^2} - z^2 = r^2. \quad (2.16)$$

(2-iii) If  $b < 0$  and  $c > 0$ , then  $M$  is given by

$$x^2 + \frac{y^2}{p^2} + z^2 = r^2 \quad (2.17)$$

which is the equation of an ellipsoid.

The case of  $b, c < 0$  can never occur.

**3. Case**  $b = -1$ . Then a parametrization of  $M$  is given by

$$x(u, v) = (u, v, (au^2 - v^2 + c)^{\frac{1}{2}}).$$

(3-i) If  $a, c > 0$ , then  $M$  is an open part of a hyperboloid of one sheet defined by

$$-\frac{x^2}{p^2} + y^2 + z^2 = r^2 \quad (2.18)$$

for some non-zero constants  $p$  and  $r$ .

(3-ii) If  $a > 0$  and  $c < 0$ , then then  $M$  is an open part of a hyperboloid of two sheets given by

$$\frac{x^2}{p^2} - y^2 - z^2 = r^2. \quad (2.19)$$

(3-iii) If  $a < 0$  and  $c > 0$ , then  $M$  is given by

$$\frac{x^2}{p^2} + y^2 + z^2 = r^2 \tag{2.20}$$

which is the equation of an ellipsoid.

The case of  $b, c < 0$  can never occur.

Thus, we have the following theorems.

**Theorem 2.1.** *If  $M$  is a Weingarten quadric surface of the first kind in a Euclidean 3-space, then  $M$  is an open part of one of the following surfaces:*

1. a hyperboloid of two sheets of the form (2.12), (2.16) or (2.19).
2. a hyperboloid of one sheet of the form (2.13), (2.15) or (2.18).
3. an ellipsoid of the form (2.14), (2.17) or (2.20).

**Theorem 2.2.** *Let  $M$  be a quadric surface of the first kind in a Euclidean 3-space. Then the Gaussian curvature  $K$  and the mean curvature  $H$  of  $M$  are related by the relation*

$$[(a + b)c + (ab + a^2b)u^2 + (ab + ab^2)v^2]^2 K = 4abc[c + (a + a^2)u^2 + (b + b^2)v^2]H^2$$

for some non-zero constants  $a, b, c$ .

**Proof.** It is obvious by (2.6) and (2.7). □

Let  $x : M \rightarrow \mathbb{E}^3$  be a quadric surface of the second kind in  $\mathbb{E}^3$ . Then  $M$  is parametrized by

$$x(u, v) = (u, v, \frac{a}{2}u^2 + \frac{b}{2}v^2). \tag{2.21}$$

On the other hand, the components  $E, F$  and  $G$  of the first fundamental form are obtained by

$$E = 1 + a^2u^2, \quad F = abuv, \quad G = 1 + b^2v^2.$$

We define the smooth function  $q$  as follows:

$$q = \|x_u \times x_v\|^2 = 1 + a^2u^2 + b^2v^2, \tag{2.22}$$

which implies that the unit normal vector field  $U$  of the surface  $M$  is given by

$$U = \frac{1}{q^{\frac{1}{2}}}(-au, -bv, 1).$$

From this, the components of the second fundamental form on  $M$  are obtained by

$$e = \frac{a}{q^{\frac{1}{2}}}, \quad f = 0, \quad g = \frac{b}{q^{\frac{1}{2}}}.$$

Making use of the data described above, the Gaussian curvature  $K$  and the mean curvature  $H$  write as respectively, as

$$K = \frac{ab}{q^2}, \tag{2.23}$$

$$H = \frac{1}{2q^{\frac{3}{2}}}H_1, \tag{2.24}$$

where  $H_1 = a^2bu^2 + ab^2v^2 + a + b$ . Since  $a, b > 0$ , a quadric surface of the second kind given by (2.21) has a positive Gaussian curvature everywhere.

Differentiating  $K$  and  $H$  with respect to  $u$  and  $v$  respectively, we get

$$\begin{cases} K_u &= -\frac{4a^3bu}{q^3}, \\ K_v &= -\frac{4ab^3v}{q^3}, \end{cases} \tag{2.25}$$

$$\begin{cases} H_u &= -\frac{1}{q^{\frac{3}{2}}}(-\frac{3}{2}a^2uH_1 + a^2buq), \\ H_v &= -\frac{1}{q^{\frac{3}{2}}}(-\frac{3}{2}b^2vH_1 + ab^2vq). \end{cases} \tag{2.26}$$

Suppose that  $M$  is a Weingarten quadric surface of the second kind. Then, it satisfies

$$K_uH_v - K_vH_u = 0. \tag{2.27}$$

From (2.25) and (2.26) equation (2.27) writes as

$$a^3b^3((2a^2b - 2a^3)u^3v - (2ab^2 - 2b^3)uv^3 - (2a - 2b)uv) = 0. \tag{2.28}$$

This yields immediately  $a = b$ . Thus,  $M$  is given by

$$z = \frac{a}{2}x^2 + \frac{a}{2}y^2, \tag{2.29}$$

and this means that it is an elliptic paraboloid.

Thus, we have this theorem:

**Theorem 2.3.** *Let  $M$  be a Weingarten quadric surface of the second kind in a Euclidean 3-space. Then,  $M$  is an open part of an elliptic paraboloid given by (2.29).*

**Theorem 2.4.** *Let  $M$  be a quadric surface of the second kind in a Euclidean 3-space. Then the Gaussian curvature  $K$  and the mean curvature  $H$  of  $M$  are related by the relation*

$$(a^2bu^2 + ab^2v^2 + a + b)K = 4ab(a^2u^2 + b^2v^2 + 1)H^2 \tag{2.30}$$

for some non-zero positive constants  $a, b$ .

**Proof.** It is obvious by (2.23) and (2.24). □

Combining Theorem 2.1, Theorem 2.3 and main theorem in [5], we obtain the following, theorem.

**Theorem 2.5 (Classification).** *Let  $M$  be a Weingarten quadric surface in a Euclidean 3-space with non-zero Gaussian curvature everywhere. Then,  $M$  is an open part of one of the following surfaces:*

1. *a hyperboloid of two sheets of the form (2.12), (2.16) or (2.19).*
2. *a hyperboloid of one sheet of the form (2.13), (2.15) or (2.18).*
3. *an ellipsoid of the form (2.14), (2.17) or (2.20).*
4. *an elliptic paraboloid of the form (2.29).*

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