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NİYAZİ ANIL GEZER

OMID ZABETI

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## Unbounded absolutely weak Dunford–Pettis operators

Nazife ERKURŞUN ÖZCAN<sup>1</sup>, Niyazi Anıl GEZER<sup>2</sup>, Omid ZABETI<sup>3,\*</sup>

<sup>1</sup>Department of Mathematics, Faculty of Science, Hacettepe University, Ankara, Turkey

<sup>2</sup>Department of Mathematics, Faculty of Arts and Science, Middle East Technical University, Ankara, Turkey

<sup>3</sup>Department of Mathematics, Faculty of Mathematics, Statistics, and Computer Science, University of Sistan and Baluchestan, Zahedan, Iran

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**Abstract:** In the present article, we expose various properties of unbounded absolutely weak Dunford–Pettis and unbounded absolutely weak compact operators on a Banach lattice  $E$ . In addition to their topological and lattice properties, we investigate relationships between  $M$ -weakly compact operators,  $L$ -weakly compact operators, and order weakly compact operators with unbounded absolutely weak Dunford–Pettis operators. We show that the square of any positive  $uaw$ -Dunford–Pettis ( $M$ -weakly compact) operator on an order continuous Banach lattice is compact. Many examples are given to illustrate the essential conditions.

**Key words:**  $uaw$ -Convergence,  $uaw$ -Dunford–Pettis operator, Banach lattice

### 1. Introduction and preliminaries

The concept of unbounded order convergence under the name of individual convergence was first considered in [13] and “ $uo$ -convergence” was initially proposed in [6]. Recently, several papers about  $uo$ -convergence in Banach lattices have been published; see [3–5, 8–10, 16] for more details on these results. Unbounded norm convergence was introduced by Troitsky in [15] and further considered in [7, 11]. Unbounded absolutely weak convergence, or  $uaw$ -convergence, was presented by Zabetti and investigated in [17].

Let  $E$  be a Banach lattice. For a net  $x_\alpha$  in  $E$ , if there is a net  $u_\gamma$ , possibly over a different index set, with  $u_\gamma \downarrow 0$  and for every  $\gamma$  there exists  $\alpha_0$  such that  $|x_\alpha - x| \leq u_\gamma$  whenever  $\alpha \geq \alpha_0$ , we say that  $x_\alpha$  converges to  $x$  in order, in notation  $x_\alpha \xrightarrow{o} x$ . A net  $x_\alpha$  in  $E$  is said to be unbounded order convergent ( $uo$ -convergent) to  $x \in E$  if for each  $u \in E_+$ , the net  $(|x_\alpha - x| \wedge u)$  converges to zero in order. It is called unbounded norm convergent ( $un$ -convergent) if  $\||x_\alpha - x| \wedge u\| \rightarrow 0$ . A net  $x_\alpha$  in a Banach lattice  $E$  is said to be unbounded absolutely weakly convergent to  $x \in E$  ( $x_\alpha \xrightarrow{uaw} x$ ) if for each positive  $u \in E$ , one has  $|x_\alpha - x| \wedge u \xrightarrow{w} 0$ .

Suppose that  $E$  is a Banach lattice and that  $X$  is a Banach space. We say that an operator  $T: E \rightarrow X$  is an unbounded absolutely weak Dunford–Pettis operator, abbreviated as  $uaw$ -Dunford–Pettis, if for every norm bounded sequence  $x_n$  in  $E$ ,  $x_n \xrightarrow{uaw} 0$  implies  $\|Tx_n\| \rightarrow 0$ . We remark that  $uaw$ -Dunford–Pettis operators are continuous. We remark further that an example of a  $uaw$ -null sequence that is not norm bounded can be found in [17]. We denote by  $B_{UDP}(E)$  the space of all  $uaw$ -Dunford–Pettis operators on a Banach lattice  $E$ .

\*Correspondence: o.zabeti@gmail.com

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In the present paper, we reveal the relationships between  $uaw$ -Dunford–Pettis operators, unbounded absolutely weak compact operators (definition given below),  $M$ -weakly compact operators,  $L$ -weakly compact operators, and  $o$ -weakly compact operators. As one of main consequences, we deduce that the square of a positive  $uaw$ -Dunford–Pettis ( $M$ -weakly compact) operator on an order continuous Banach lattice is compact. In addition, various examples are given to make the concepts and hypotheses more understandable. For the general theory of Dunford–Pettis operators, the reader is referred to [2, 12, 14]. For other necessary terminology on vectors and Banach lattices, we refer the reader to [1, 2].

## 2. Main results

**Proposition 2.1** *Suppose that  $E$  is a Banach lattice whose dual space is order continuous and  $X$  is a Banach space. In this case, every Dunford–Pettis operator  $T: E \rightarrow X$  is  $uaw$ -Dunford–Pettis.*

**Proof** Suppose  $T$  is Dunford–Pettis and  $x_n$  is a norm bounded sequence in  $E$ , which is  $uaw$ -convergent to zero. By [17, Theorem 7], it is weakly convergent. By the assumption,  $\|Tx_n\| \rightarrow 0$ , as desired.  $\square$

Note that order continuity of  $E'$  is essential in Proposition 2.1 and it cannot be dropped. To see this, consider the identity operator  $I$  on  $\ell_1$ . It follows from the Schur property of  $\ell_1$  that  $I$  is Dunford–Pettis. However, it can not be  $uaw$ -Dunford–Pettis as the  $uaw$ -null sequence  $(e_i)_i$  formed by the standard basis of  $\ell_1$  is not norm convergent to zero. In addition, it can be easily seen that every  $uaw$ -Dunford–Pettis operator is continuous, but the converse is not true. Indeed, the identity operator on  $\ell_1$  is not  $uaw$ -Dunford–Pettis.

**Remark 2.2** *Suppose that  $E$  is an AM-space and  $X$  is a Banach space. Since the lattice operations in  $E$  are weakly sequentially continuous [2, Theorem 4.31] and in view of Proposition 2.1, it can be seen that an operator  $T: E \rightarrow X$  is  $uaw$ -Dunford–Pettis if and only if it is Dunford–Pettis. Suppose further that  $E$  is an atomic order continuous Banach lattice. It follows from [12, Proposition 2.5.23] that if an operator  $T: E \rightarrow X$  is  $uaw$ -Dunford–Pettis, then it is a Dunford–Pettis operator.*

It is known that every compact operator between Banach lattices is Dunford–Pettis. In the following example, we show that in the case of a  $uaw$ -Dunford–Pettis operator, the situation is different.

**Example 2.3** *Let  $T: \ell_1 \rightarrow \mathbb{R}$  be the compact operator defined by  $T((x_n)) = \sum_{n=1}^{\infty} x_n$  for every  $(x_n) \in \ell_1$ . It follows by considering the standard basis of  $\ell_1$  that  $T$  is not a  $uaw$ -Dunford–Pettis operator.*

A typical example of a Dunford–Pettis operator that is not compact is the identity operator on  $\ell_1$  because of the Schur property. However, this operator does not do the job for the  $uaw$ -case since it is not also  $uaw$ -Dunford–Pettis. Nevertheless, there is good news if one considers a version of Lozanovsky’s example as described in [2, page 289, Exercise 10].

**Example 2.4** *Consider the operator  $T: C[0, 1] \rightarrow c_0$  given by*

$$T(f) = \left( \int_0^1 f(t) \sin t \, dt, \int_0^1 f(t) \sin 2t \, dt, \dots \right)$$

*for every  $f \in C[0, 1]$ . It follows that  $T$  is not order bounded. Hence, by [2, Theorem 5.7],  $T$  is not compact. Denote by  $(f_n) \subseteq C[0, 1]$  a norm bounded sequence for which  $f_n \xrightarrow{uaw} 0$  holds. It follows from [17, Theorem*

7] that  $f_n \xrightarrow{w} 0$  and that  $\|T(f_n)\| = \sup_{m \geq 1} \left| \int_0^1 f_n(t) \sin mt \, dt \right| \leq \int_0^1 |f_n(t)| \, dt \rightarrow 0$ . Hence, the noncompact operator  $T$  is a  $uaw$ -Dunford–Pettis operator.

It follows that post- and precompositions of finitely many  $uaw$ -Dunford–Pettis operators are again  $uaw$ -Dunford–Pettis operators.

**Proposition 2.5** *Suppose that  $E$  is a Banach lattice. Then  $B_{UDP}(E)$  is a subalgebra of the algebra  $B(E)$  of continuous operators on  $E$ .*

**Proof** If  $T$  and  $S$  are two  $uaw$ -Dunford–Pettis operators and  $x_n$  is a norm bounded sequence satisfying  $x_n \xrightarrow{uaw} 0$  then  $\|TS(x_n)\| \rightarrow 0$  and  $\|(T+S)x_n\| \rightarrow 0$ .  $\square$

Recall (see [2] for details) that an operator  $T: E \rightarrow F$  is said to be  $M$ -weakly compact if for every norm bounded disjoint sequence  $x_n$  in  $E$  one has  $\|Tx_n\| \rightarrow 0$ . The operator  $T: E \rightarrow F$  is said to be  $L$ -weakly compact if every disjoint sequence  $y_n$  in the solid hull of  $T(B_E)$  is norm null.

**Proposition 2.6** *If  $T: E \rightarrow F$  is a  $uaw$ -Dunford–Pettis operator then  $T$  is  $M$ -weakly compact. In particular,  $T: E \rightarrow F$  is weakly compact.*

**Proof** If  $x_n$  is a norm bounded disjoint sequence in  $E$ , by [17, Lemma 2],  $x_n \xrightarrow{uaw} 0$ . Hence,  $\|Tx_n\| \rightarrow 0$ .  $\square$

For the converse, we have the following result.

**Theorem 2.7** *Suppose  $E$  and  $F$  are Banach lattices such that either  $E$  or  $F$  is order continuous. Then every positive  $M$ -weakly compact operator from  $E$  into  $F$  is  $uaw$ -Dunford–Pettis.*

**Proof** Suppose  $x_n$  is a bounded positive  $uaw$ -null sequence in  $E$ . Let  $\varepsilon > 0$  be arbitrary. By [2, Theorem 5.60], due to Meyer-Nieberg, there is a positive  $u \in E$  with  $\|T(x_n) - T(x_n \wedge u)\| < \frac{\varepsilon}{2}$ . First, suppose  $E$  is order continuous; since  $x_n \wedge u \xrightarrow{w} 0$  and the sequence is order bounded, by [2, Theorem 4.17], we conclude that  $\|x_n \wedge u\| \rightarrow 0$  so that  $\|T(x_n \wedge u)\| \rightarrow 0$ . Now, assume  $F$  is order continuous;  $x_n \wedge u \xrightarrow{w} 0$  results in  $T(x_n \wedge u) \xrightarrow{w} 0$ . Note that this sequence is order bounded so that, by [2, Theorem 4.17],  $\|T(x_n \wedge u)\| \rightarrow 0$ . In any case, we see that  $\|Tx_n\| < \varepsilon$  for sufficiently large  $n$ , as claimed.  $\square$

**Corollary 2.8** *Suppose that either  $E$  or  $F$  is order continuous. Then every  $L$ -weakly compact lattice homomorphism from  $E$  to  $F$  is  $uaw$ -Dunford–Pettis.*

**Proof** It can be verified that  $T$  is  $M$ -weakly compact (for example, see [2, page 337, Exercise 4]). The conclusion follows from Theorem 2.7.  $\square$

**Remark 2.9** *Suppose that  $E$  and  $F$  are Banach lattices. An operator  $T: E \rightarrow F$  is said to be  $uaw$ -continuous if it maps bounded  $uaw$ -null sequences to  $uaw$ -null ones. It can be verified that every  $uaw$ -Dunford–Pettis operator is  $uaw$ -continuous but the converse is not true. The identity operator on  $\ell_1$  is  $uaw$ -continuous but not  $uaw$ -Dunford–Pettis.*

We remark that  $L$ -weakly compact operators are fruitful tools because of the following result.

**Theorem 2.10** *Suppose that  $E$  is a Banach lattice and  $F$  is an order continuous Banach lattice. Then every  $L$ -weakly compact  $uaw$ -continuous operator from  $E$  into  $F$  is  $uaw$ -Dunford–Pettis.*

**Proof** Suppose that  $x_n$  is a bounded positive  $uaw$ -null sequence in  $E$ . Let  $\varepsilon > 0$  be arbitrary. By [2, Theorem 5.60], there is a positive  $u \in F$  with  $\| |T(x_n)| - |T(x_n)| \wedge u \| < \frac{\varepsilon}{2}$ . Since  $Tx_n \xrightarrow{uaw} 0$ , we see that  $|Tx_n| \wedge u \xrightarrow{w} 0$ . Note that this sequence is order bounded so that by [2, Theorem 4.17],  $\| |Tx_n| \wedge u \| \rightarrow 0$ . Therefore,  $\|Tx_n\| < \varepsilon$  for sufficiently large  $n$ , as claimed.  $\square$

In the following example, we show that adjoint of a  $uaw$ -Dunford–Pettis operator need not be  $uaw$ -Dunford–Pettis.

**Example 2.11** *Consider the operator  $T$  given in Example 2.4. We claim that its adjoint is not  $uaw$ -Dunford–Pettis. The adjoint  $T' : \ell_1 \rightarrow M[0, 1]$  is defined via*

$$T'(x_n)(f) = \sum_{n=1}^{\infty} x_n \left( \int_0^1 f(t) \sin nt dt \right),$$

where  $M[0, 1]$  is the space of all regular Borel measures on  $[0, 1]$ . Note that the standard basis  $(e_n)_n$  of  $\ell_1$  is  $uaw$ -null. For each  $n \in \mathbb{N}$ , put  $f_n(t) = \sin nt$ . Hence, we have

$$\|T'(e_n)\| \geq \|T'(e_n)(f_n)\| = \int_0^1 (\sin nt)^2 dt \rightarrow 0.$$

**Remark 2.12** *Observe that Example 2.11 can be employed to show that the positivity assumption in Theorem 2.7 and  $uaw$ -continuity hypothesis in Theorem 2.10 are essential and cannot be removed. The operator  $T'$  is not positive. Since  $T$  is  $uaw$ -Dunford–Pettis, it is  $M$ -weakly-compact. By [2, Theorem 5.67],  $T'$  is also  $M$ -weakly compact. However, as we see from Example 2.11, it is not  $uaw$ -Dunford–Pettis. Furthermore, [2, Theorem 5.67] convinces us that  $T'$  is also  $L$ -weakly compact. We claim that  $T'$  is not  $uaw$ -continuous. Note that  $e_n \xrightarrow{uaw} 0$ . For every  $n \in \mathbb{N}$ , consider  $f_n(t) = \sin nt$ . Also, since the sequence  $(\sin n)_n$  is dense in  $[-1, 1]$ , we can choose sufficiently large  $n \in \mathbb{N}$  with  $\sin n > \frac{1}{4}$ . Suppose that  $\delta_1$  is the Dirac measure at point  $x_0 = 1$ . Then  $(T'(e_n) \wedge \delta_1)(\sin nt) > \frac{1}{4}$ .*

Recall that an operator  $T : E \rightarrow X$  from a Banach lattice  $E$  into a Banach space  $X$  is  $o$ -weakly compact if the image of an order interval of  $E$  under  $T$  is a weakly relatively compact set in  $X$ . Compatible with [2, Theorem 5.91 and Corollary 5.92] and [17, Lemma 2], one may verify the following.

**Proposition 2.13** *Every  $uaw$ -Dunford–Pettis operator  $T : E \rightarrow X$  from a Banach lattice  $E$  into a Banach space  $X$  is  $o$ -weakly compact.*

**Proposition 2.14** *The square of a  $uaw$ -Dunford–Pettis operator carries order intervals into norm totally bounded sets.*

Now we have the following.

**Theorem 2.15** *Suppose that  $E$  is a Banach lattice and  $T$  is a positive  $uaw$ -Dunford–Pettis operator on  $E$ . Let  $S$  be a positive operator on  $E$  dominated by  $T^2$ . Then the operator  $S^2$  is compact.*

**Proof** By Proposition 2.6 and Proposition 2.13,  $T$  is both  $o$ -weakly compact and  $M$ -weakly compact. Moreover, by Proposition 2.14,  $T^2$  maps order intervals into norm totally bounded sets. The conclusion follows from [2, page 338, Exercise 13].  $\square$

Observe that since the identity operator on  $\ell_1$  is Dunford–Pettis, we can not expect compactness of any power of  $T$ . However, the following result is surprising.

**Corollary 2.16** *Suppose that  $E$  is a Banach lattice. Then, for every positive  $uaw$ -Dunford–Pettis operator  $T$  on  $E$ , the operator  $T^4$  is compact.*

**Proof** The positive operator  $T^2$  is dominated by itself. It follows from Theorem 2.15 that  $T^4$  is compact.  $\square$

**Corollary 2.17** *Suppose that  $E$  is a Banach lattice. The identity operator on  $E$  is  $uaw$ -Dunford–Pettis if and only if  $E$  is finite dimensional.*

**Proof** Suppose that the identity operator on  $E$  is  $uaw$ -Dunford–Pettis. By Corollary 2.16, it is compact. This yields that  $E$  is finite dimensional. Suppose  $E$  is a finite dimensional. Hence, it is atomic and reflexive. Therefore, every  $uaw$ -null sequence is weakly null and so norm null. This means that the identity operator on  $E$  is  $uaw$ -Dunford–Pettis.  $\square$

**Proposition 2.18** *Suppose that  $E$  is an order continuous Banach lattice. Let  $T$  be a positive  $uaw$ -Dunford–Pettis operator on  $E$ . If an operator  $S$  satisfies  $0 \leq S \leq T$ , then the operator  $S^2$  is compact. In particular, the square of a positive  $uaw$ -Dunford–Pettis operator is compact.*

**Proof** By Proposition 2.13,  $T$  is  $o$ -weakly compact. This means that the order bounded set  $T[0, x]$  is relatively weakly compact. By [2, Theorem 4.17], the set  $T[0, x]$  is relatively compact in  $E$ . By using [2, page 338, Exercise 13], we conclude that if a positive operator  $S$  is dominated by  $T$ , then the square of  $S$  is a compact operator.  $\square$

Furthermore, considering Theorem 2.7, we get the following important result.

**Corollary 2.19** *The square of a positive  $M$ -weakly compact operator on an order continuous Banach lattice  $E$  is compact.*

For the  $uaw$ -convergence, we have  $x_\alpha \xrightarrow{uaw} x$  in Banach lattice  $E$  if and only if  $|x_\alpha - x| \xrightarrow{uaw} 0$ ; see [17, Lemma 1]. It allows one to reduce  $uaw$ -convergence to the  $uaw$ -convergence of positive nets to zero.

**Proposition 2.20** *Let  $T: E \rightarrow F$  be a positive  $uaw$ -Dunford–Pettis operator between Banach lattices with  $F$  Dedekind complete. Then the Kantorovich-like extension  $S: E \rightarrow F$  defined via*

$$S(y) = \sup \left\{ T(y \wedge y_n) : (y_n) \subseteq E_+, y_n \xrightarrow{uaw} 0 \right\}$$

for  $y \in E_+$  is again  $uaw$ -Dunford–Pettis.

**Proof** Suppose  $y, z \in E_+$ . Then

$$S(y + z) = \sup_n \{ T((y + z) \wedge \gamma_n) \} \leq \sup_n \{ T(y \wedge \gamma_n) \} + \sup_n \{ T(z \wedge \gamma_n) \} \leq S(y) + S(z),$$

in which  $\gamma_n$  is a positive sequence that is  $uaw$ -null. On the other hand,

$$T(y \wedge \alpha_n) + T(z \wedge \beta_n) = T(y \wedge \alpha_n + z \wedge \beta_n) \leq T((y + z) \wedge (\alpha_n + \beta_n)) \leq S(y + z),$$

provided that two positive sequences  $\alpha_n, \beta_n$  are  $uaw$ -null so that  $S(y) + S(z) \leq S(y + z)$ . Therefore, by the Kantorovich extension theorem [2, Theorem 1.10],  $S$  extends to a positive operator. Denote by  $S$  the extended operator  $S: E \rightarrow F$ .

We show that  $S$  is also  $uaw$ -Dunford–Pettis. Let  $y_n$  be a norm bounded sequence in  $E$ , which is  $uaw$ -null. By [17, Lemma 1],  $y_n \xrightarrow{uaw} 0$  implies  $|y_n| \xrightarrow{uaw} 0$ . We write  $y_n = y_n^+ - y_n^-$  for each  $n$ . Therefore, we have

$$\|S(y_n^+)\| \leq \|S(|y_n|)\| = \|\sup_m T(|y_n| \wedge \alpha_m)\| \leq \|T(|y_n|)\| \rightarrow 0,$$

in which  $\alpha_m$  is a positive sequence in  $E$ , which is convergent to zero in the  $uaw$ -topology. Similarly,  $\|S(y_n^-)\| \rightarrow 0$ . Hence,  $\|Sy_n\| = \|Sy_n^+ - Sy_n^-\| \leq \|Sy_n^+\| + \|Sy_n^-\| \rightarrow 0$ . □

In the next example, we show that adjoint of a non- $uaw$ -Dunford–Pettis operator can be  $uaw$ -Dunford–Pettis.

**Example 2.21** Consider the operator  $T: \ell_1 \rightarrow L^2[0, 1]$  defined by  $T(x_n) = (\sum_{i=1}^{\infty} x_n) \chi_{[0,1]}$  for all  $x_n \in \ell_2$  where  $\chi_{[0,1]}$  denotes the characteristic function of  $[0, 1]$ . The operator  $T$  is compact but it is not  $uaw$ -Dunford–Pettis. Its adjoint  $T': L^2[0, 1] \rightarrow \ell_{\infty}$  is compact, and hence it is Dunford–Pettis. By Proposition 2.1, we conclude that it is  $uaw$ -Dunford–Pettis.

**Remark 2.22** One may verify that every positive operator dominated by a positive  $uaw$ -Dunford–Pettis operator is again  $uaw$ -Dunford–Pettis. Therefore, if  $T$  is an operator whose modulus is  $uaw$ -Dunford–Pettis, it can be easily seen that  $T$  is also  $uaw$ -Dunford–Pettis. Furthermore, the remarkable theorem of Kalton and Saab ([2, Theorem 5.90]) asserts that if the range space is order continuous, then we can deduce the former statement in the case of Dunford–Pettis operators. Hence, this point can be considered as an advantage for  $uaw$ -Dunford–Pettis operators.

In this step, we investigate closedness properties of  $B_{UDP}(E)$ .

**Proposition 2.23**  $B_{UDP}(E)$  is a closed subalgebra of  $B(E)$ .

**Proof** Suppose that  $T_m$  is a sequence of  $uaw$ -Dunford–Pettis operators, which is convergent to the operator  $T$ . We show that  $T$  is also  $uaw$ -Dunford–Pettis. Assume that  $x_n$  is a bounded  $uaw$ -null sequence in  $E$ . Given any  $\varepsilon > 0$ , there is an  $m_0$  such that  $\|T_m - T\| < \frac{\varepsilon}{2}$  for each  $m > m_0$ . Fix an  $m > m_0$ . For sufficiently large  $n$ , we have  $\|T_m(x_n)\| < \frac{\varepsilon}{2}$ . Therefore,

$$\|T(x_n)\| < \|T_m - T\| + \|T_m(x_n)\| < \varepsilon.$$

□

As the following example shows, the closed algebra of all  $uaw$ -Dunford–Pettis operators is not order closed.

**Example 2.24** Put  $E = c_0$ . Suppose that  $P_n$  is the projection onto the  $n$ th first components. Each  $P_n$  is a finite rank operator and so Dunford–Pettis. By Proposition 2.1,  $P_n$  is  $uaw$ -Dunford–Pettis for all  $n$ . Also,  $P_n \uparrow I$ , where  $I$  denotes the identity operator on  $E$ . However,  $I$  is not  $uaw$ -Dunford–Pettis as the standard basis  $(e_i)_{i=1}^\infty$  is  $uaw$ -null but not norm convergent to zero.

**Remark 2.25** It is a natural question to ask whether the algebra  $B_{UDP}(E)$  has a lattice structure or not. This can be reduced as follows. When does the modulus of a  $uaw$ -Dunford–Pettis operator exist, and is it again  $uaw$ -Dunford–Pettis? In general, the answer to this question is not affirmative. Consider [2, Example 5.6], which is due to Krengel. Observe that the space  $E$  mentioned there is a Dedekind complete order continuous Banach lattice whose dual is again order continuous. The operator  $T$  is compact and so Dunford–Pettis. By Proposition 2.1, it is  $uaw$ -Dunford–Pettis. The sequence  $\hat{x}_n$  is disjoint so that by [17, Lemma 2] it is  $uaw$ -null. However, as we see in the example,  $|T|(\hat{x}_n)$  is not norm null.

Recall that an operator  $T$  between vector lattices  $E$  and  $F$  is said to preserve disjointness if  $x \perp y$  in  $E$  implies  $Tx \perp Ty$  in  $F$ .

**Theorem 2.26** Suppose that  $E$  is a Banach lattice. Let  $T$  be an order bounded  $uaw$ -Dunford–Pettis operator. If  $T$  preserves disjointness then  $T$  possesses a modulus  $|T|$ , which is  $uaw$ -Dunford–Pettis.

**Proof** By [2, Theorem 2.40], the modulus of  $T$  exists, and it satisfies the identity  $|T|(x) = |T(x)|$  for each positive element  $x \in E$ . Suppose that  $x_n$  is a bounded positive sequence, which is  $uaw$ -null. By the hypothesis,  $\|Tx_n\| \rightarrow 0$ . Hence,  $|T|(x_n)$  is also norm null.  $\square$

**Remark 2.27** Observe that there is no inclusion relation between the algebra of  $uaw$ -Dunford–Pettis operators and the class of disjointness preserving operators. The identity operator on  $\ell_1$  preserves disjointness but it is not  $uaw$ -Dunford–Pettis. Furthermore, consider the operator  $T$  on  $C[0, 1]$  defined via  $T(f) = (f(0) + f(1))\mathbf{1}$ . One may verify that  $T$  is a compact operator and so Dunford–Pettis. By Proposition 2.1, it is  $uaw$ -Dunford–Pettis but it is not disjoint preserving, as mentioned in [2, Page 117].

An operator  $T: X \rightarrow E$ , where  $X$  is a Banach space and  $E$  is a Banach lattice, is said to be (sequentially)  $uaw$ -compact if  $T(B_X)$  is relatively (sequentially)  $uaw$ -compact where  $B_X$  denotes the closed unit ball of the Banach space  $X$ . Equivalently, for every bounded net  $x_\alpha$  (respectively, every bounded sequence  $x_n$ ), its image has a subnet (respectively, subsequence), which is  $uaw$ -convergent.

We further say that the operator  $T$  is  $un$ -compact if  $T(B_X)$  is relatively  $un$ -compact in  $E$ . In [11], some properties of  $un$ -compact operators are studied. A more general treatment can be found in [3, 4].

Recall that an element  $0 \neq e \in X^+$  of a normed lattice  $X$  is called a quasi-interior point if the principal ideal  $I_e$  generated by  $e$  is norm dense in  $X$ . The element  $0 < e \in X$  is a quasi-interior point if and only if for every  $x \in X^+$  we have  $\|x - x \wedge ne\| \rightarrow 0$  as  $n \rightarrow \infty$ .

As in [11, Proposition 9.1] and using [17, Theorem 4 and Proposition 14], we have the same conditions for  $uaw$ -compactness and sequentially  $uaw$ -compactness of an operator.

**Proposition 2.28** Let  $T: E \rightarrow F$  be an operator between Banach lattices.

- (i) If  $F$  is order continuous and has a quasi-interior point then  $T$  is  $uaw$ -compact if and only if it is sequentially  $uaw$ -compact;
- (ii) If  $F$  is order continuous and  $T$  is  $uaw$ -compact then  $T$  is sequentially  $uaw$ -compact;
- (iii) If  $F$  is an atomic  $KB$ -space then  $T$  is  $uaw$ -compact if and only if  $T$  is sequentially  $uaw$ -compact.

**Remark 2.29** One of the facts used in the proof of [11, Proposition 9.1, (i)] is that  $un$ -topology on a Banach lattice  $E$  is metrizable if and only if  $E$  has a quasi-interior point. This result can be restated in terms of  $uaw$ -topology provided that  $E$  is order continuous. Note that order continuity is essential and cannot be dropped; for instance, consider  $E = \ell_\infty$ . It is easy to see that  $uaw$ -topology and absolute weak topology agree on the unit ball  $B_E$  of  $E$ . However,  $B_E$  is not weakly metrizable since  $E'$  is not separable. This implies that  $E$  cannot be metrizable with respect to the  $uaw$ -topology.

Similar to the case of usual compact and Dunford–Pettis operators, it might seem at first glance that every  $uaw$ -compact operator is  $uaw$ -Dunford–Pettis; the following example is surprising.

**Example 2.30** The inclusion  $\ell_2 \hookrightarrow \ell_\infty$  is weakly compact by [2, Theorem 5.24]. This operator is sequentially  $uaw$ -compact. However, it is not  $uaw$ -Dunford–Pettis. For the standard basis  $(e_n)_n$  is  $uaw$ -null but it is not norm convergent to zero.

Also, the other implication may fail, as well.

**Example 2.31** Consider the inclusion map  $J: L^\infty[0, 1] \rightarrow L^1[0, 1]$ . It follows from [2, page 313, Exercise 7] that  $J$  is weakly compact. In fact,  $J$  is  $uaw$ -Dunford–Pettis. To see this, suppose  $f_n$  is a norm bounded sequence, which converges to zero in the  $uaw$ -topology. By [17, Theorem 7], it follows that it is weakly convergent. Since  $L^1[0, 1] \subseteq (L^\infty[0, 1])'$  and the constant function one lies in  $L^1[0, 1]$ , we conclude that  $\|f_n\|_1 \rightarrow 0$ , as claimed. However,  $J$  is not  $uaw$ -compact, since the norm bounded sequence  $r_n$  of the Rademacher functions does not have any  $uaw$ -convergent subsequence.

Let us continue with several ideal properties.

**Proposition 2.32** Let  $S: E \rightarrow F$  and  $T: F \rightarrow G$  be two operators between Banach lattices  $E, F$ , and  $G$ .

- (i) If  $T$  is (sequentially)  $uaw$ -compact and  $S$  is continuous then  $TS$  is (sequentially)  $uaw$ -compact.
- (ii) If  $T$  is a  $uaw$ -Dunford–Pettis operator and  $S$  is either (sequentially)  $un$ -compact or  $uaw$ -compact then  $TS$  is compact.
- (iii) If  $T$  is  $uaw$ -Dunford–Pettis and  $S$  is Dunford–Pettis then  $TS$  is Dunford–Pettis.
- (iv) If  $T$  is continuous and  $S$  is  $uaw$ -Dunford–Pettis, then  $TS$  is  $uaw$ -Dunford–Pettis.

**Proof** (i) We prove the results for the sequence case. For nets, the proof is similar. Suppose  $(x_n) \subseteq E$  is a bounded sequence. By the assumption, the sequence  $Sx_n$  is also norm bounded. Therefore, there is a subsequence  $TS(x_{n_k})$  that is  $uaw$ -convergent.

(ii) Suppose that  $x_n$  is a bounded sequence in  $E$ . There is a subsequence  $x_{n_k}$  such that  $S(x_{n_k}) \xrightarrow{uaw} x$  for some  $x \in F$ . Thus, by the hypothesis,  $\|TS(x_{n_k}) - TS(x)\| \rightarrow 0$ , as desired.

(iii) Suppose that  $x_n$  is a sequence in  $E$ , which is weakly null. By the assumption,  $\|Sx_n\| \rightarrow 0$ . It follows that  $Sx_n \xrightarrow{uaw} 0$ . Again, this implies that  $\|TS(x_n)\| \rightarrow 0$ .

(iv) Suppose that  $x_n$  is a norm bounded sequence in  $E$ , which is  $uaw$ -null. By the hypothesis,  $\|Sx_n\| \rightarrow 0$  so that  $\|TS(x_n)\| \rightarrow 0$ , as desired.  $\square$

Denote by  $K_{uaw}(E), K_{un}(E)$  the spaces of all  $uaw$ -compact and  $un$ -compact operators on the Banach lattice  $E$ , respectively. In general, we have  $K(E) \subseteq K_{un}(E) \subseteq K_{uaw}(E)$ . In the next discussion, we show that not every  $uaw$ -compact operator is  $un$ -compact.

**Example 2.33** *The inclusion  $\ell_2 \hookrightarrow \ell_\infty$  is weakly compact by [2, Theorem 5.24]. Hence, it is sequentially  $uaw$ -compact because the range of the operator is an  $AM$ -space. However it is not sequentially  $un$ -compact since by [11, Theorem 2.3], it should be compact, which is not possible.*

**Remark 2.34**  *$K_{un}(E)$  and  $K_{uaw}(E)$  are not order closed in the usual order of the space of all continuous operators on  $E$ , as shown by [11, Example 9.3]; see also [17, Theorem 4].*

The following results are motivated by the Krengel's theorem; see [2, Theorem 5.9].

**Theorem 2.35** *If  $E$  is an  $AL$ -space and  $F$  is a Banach lattice whose dual space is order continuous, then every sequentially  $uaw$ -compact operator  $T$  from  $E$  into  $F$  has a sequentially  $uaw$ -compact adjoint.*

**Proof** Let  $T: E \rightarrow F$  be a sequentially  $uaw$ -compact operator. For every norm bounded sequence  $x_n$  in  $E$ , the sequence  $Tx_n$  has a subsequence  $Tx_{n_k}$ , which is convergent in the  $uaw$ -topology. By [17, Theorem 7], the subsequence is weakly convergent. This implies that the operator  $T$  is weakly compact. By Gantmacher's theorem [2, Theorem 5.23], it follows that  $T'$  is weakly compact. Since the range of  $T'$  is an  $AM$ -space, it is sequentially  $uaw$ -compact.  $\square$

**Remark 2.36** *Note that order continuity of  $F'$  is essential and cannot be removed. Consider the identity operator on  $\ell_1$ . One may verify that it is  $uaw$ -compact;  $\ell_1$  is an atomic  $KB$ -space and therefore using [11, Theorem 7.5] and [17, Theorem 4] yields the desired result. However, its adjoint is the identity operator on  $\ell_\infty$ , which is not sequentially  $uaw$ -compact.*

**Theorem 2.37** *If  $E$  is an  $AL$ -space and  $F$  is a reflexive Banach lattice, then every order bounded sequentially  $uaw$ -compact operator  $T$  from  $E$  into  $F$  has a weakly compact modulus.*

**Proof** By Theorem 2.35, if  $T$  is sequentially  $uaw$ -compact then  $T'$  is a sequentially  $uaw$ -compact operator. Note that  $E'$  is an  $AM$ -space. Hence, the operator  $T'$  is weakly compact and the result follows from [2, Theorem 5.35].  $\square$

**Proposition 2.38** *Let  $E$  be a Banach lattice whose dual space is atomic and order continuous. Also, let  $F$  be a Banach lattice whose dual is order continuous. Then every (sequentially)  $un$ -compact operator  $T: E \rightarrow F$  has a (sequentially)  $un$ -compact adjoint operator  $T': F' \rightarrow E'$ .*

**Proof** For any norm bounded sequence  $x_n$  in  $E$ , the sequence  $Tx_n$  has a subsequence that is  $un$ -convergent to zero by  $un$ -compactness. By [7, Theorem 6.4], it is weakly convergent. Hence, the operator  $T$  is weakly compact. It follows from Gantmacher's theorem that  $T'$  is weakly compact. By [11, Proposition 4.16], the operator  $T'$  is  $un$ -compact.  $\square$

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