An intelligent face features generation system from fingerprints

Şerif SAĞIROĞLU1, Necla ÖZKAYA2
1Department of Computer Engineering Faculty of Engineering and Architecture, Gazi University, 06570 Ankara-TURKEY
e-mail: ss@gazi.edu.tr
2Department of Computer Engineering, Faculty of Engineering, Erciyes University, Kayseri-TURKEY
e-mail: neclaozkaya@erciyes.edu.tr

Abstract

In this study, a novel intelligent system based on artificial neural networks was designed and introduced for generating faces from fingerprints with high accuracy. The proposed system has a number of modules including two feature enrolment modules for acquiring the fingerprints and faces into the system, two feature extractors for extracting the feature sets of fingerprint and face biometrics, an artificial neural network module that was configured with the help of Taguchi experimental design method for establishing relationships among the biometric features, a face re-constructor for building up face features from the results of the system, and a test module for test the results of the system. 10-fold cross validation technique was used for evaluating the performance of the system. The results have shown that the face features can be successfully generated from only fingerprints. It can be concluded that the proposed study significantly and directly contributes to biometrics and its new applications.

Key Words: Information security, biometrics, artificial neural networks.

1. Introduction

Accurately identifying a person is the most critical process in biometrics-based security applications, and are used for recognizing and determining an individual identity based on his or her physical or behavioral characteristics, including fingerprint, face, ear, hand geometry, voice, retina, iris, etc. [1, 2]. Any human physiological or behavioral characteristic might be used as a biometric characteristic as long as it satisfies these following requirements: universality, uniqueness, permanence and collectability [2]. Biometric based identification systems have been widely utilized in many security applications. Biometrics is a marvelous technology that is lower in cost, faster and more accurate. It also covers the great performance expectations compared with the existing alternatives like PINs or passwords [1]. Over the last couple of decades, biometric based recognition systems have
been widely investigated, a number of biometric features have been studied, tested, and successfully deployed in applications including information security, law enforcement, surveillance, forensics, smart cards, access control, time/place control points and computer networks, etc. [2], [3].

Achieving a biometric feature from another biometric feature is a challenging idea. This transformation might be useful for many applications especially security applications. When the literature was reviewed, no study was found investigating relationships among the biometric features or obtaining one feature from the others, except the present authors [4–12]. Sağiroğlu and Özkıaya have experimentally showed there exists a relationship among the biometric features of faces and fingerprints. The authors proposed novel approaches for generating the face borders [4], the face contours including face border and ears [5], the face models including eyebrows, eyes and mouth [6], the inner face parts including eyes, nose and mouth [7], the face parts including eyes, nose, mouth and ears [8], the face models including eyes, nose, mouth and face border [9], the face parts including eyebrows, eyes, nose, mouth and ears [10], only eyes [11] and the face parts including eyebrows, eyes and nose [12] from only fingerprints without any need for face information or images. It is clear from the studies that an unknown biometric feature can be achieved from a known biometric feature.

The scope of our study here is to develop an automatic and intelligent biometric system capable of obtaining inner face features including eyes, nose and mouth from just fingerprints, without having any priori knowledge about faces, with the help of optimally designed artificial neural network (ANN) models. In order to achieve that, an intelligent face model generation system from fingerprints (fingerprint to face features: F2FF) was developed and introduced in this study. The ANN models used for establishing the relationships among fingerprints and faces were optimally designed with Taguchi experimental design technique.

This paper is organized as follows. Section II briefly describes background information on biometrics, automatic fingerprint identification and verification systems (AFIVSs), face recognition systems (FRSs) and multi modal biometric systems (MMBSs), respectively. Sections III and IV briefly introduce ANNs and Taguchi experimental design technique, respectively. Section V highlights the novelty of the proposed technique, presents basic notation, definitions, performance metrics related to the F2FFs and explains the steps of the proposed approach followed. Section VI demonstrates the experimental results achieved in this study. Finally, the proposed approach is concluded and discussed in Section VII.

2. Overview of biometrics

Biometrics is used to recognize an individual or to determine an individual identity based on his/her physical or behavioral biometric characteristics [2]. In general, a biometric system operates its tasks in the following three steps: acquiring biometric data from a person, extracting a feature set from the acquired data, and recording the feature set into a database or/and comparing the feature set against the template feature set in the database. When a user wants to authenticate him/herself to the system, a fresh biometric feature is taken from the user, the same feature extraction algorithm is applied, and the extracted feature set is compared to the template. If they are sufficiently similar according to the criterion, the user is finally authenticated [13]. Biometric based systems lead to user convenience, reduce fraud and secure systems and society [14]. Figure 1 illustrates a general biometric system having four modes depending on the application status [14]: the enrolment, the verification, the identification and the screening.

The two most popular biometric systems are AFIVSs and FRSs. Fingerprint is a sort of identity card that
people carry with them continuously [15]. The AFIVSs might be broadly classified as being minutiae-based, correlation-based and image-based systems [16]. A good survey about these systems is given in [2]. In our study, feature sets of the fingerprints a minutiae-based approach was preferred. The minutiae-based approach rely on the comparison for similarities and differences of local ridge attributes and their relationships to make a personal identification [17, 18]. In general the comparison is based on representing two important attributes including end points and bifurcations. The end point is defined as the point where a ridge ends suddenly. A bifurcation is defined as the point where a ridge separates or diverges into branch ridges [3, 17]. If these local attributes and their parameters are computed relative to the global attributes called singular points including core and delta points which are highly stable, rotation, translation and scale invariant, these local attributes will then also become rotation, translation and scale invariant [19], [20–22]. Core points are the points where the innermost ridge loops are at their steepest. Delta points are the points from which three patterns deviate [21, 23]. Main steps of the operations in the minutiae-based AFIVSs are summarized as follows: selecting the image area, detecting the singular points, enhancing, improving and thinning the fingerprint image, extracting the minutiae points and calculating their parameters, eliminating the false minutiae points, representing the fingerprint images properly with their feature sets, recording the feature sets into a database, matching the feature sets, testing the system results and evaluating the performance of the system. The performance of the minutiae-based techniques relies on the accuracy of all these processes. Especially the feature extraction and the use of sophisticated matching techniques to compare two minutiae sets often affect the performance.

Face recognition is a biometric method that identifies the individuals using the features of their faces. It is an active research area with applications ranging from static, controlled mug-shot verification to dynamic, uncontrolled face identification in a cluttered background [17]. In general, a FRS consists of three main steps. These steps cover detection of the faces in a complicated background, localization of the faces followed by extraction of the features from the face regions and finally identification or verification tasks [24]. Face recognition process is really complex and difficult due to numerous factors effecting the appearance of an individual’s facial features such as 3D pose, facial expression, hair style, make up, lighting, background, scale, noise and face occlusion [24, 25]. The most popular approaches to face recognition are based on either the location and shape of the facial attributes [26] or the overall analysis of the face images [14, 24]. Also many effective and robust methods for face recognition have been proposed in the literature [3, 17], [24–29].

Multi-model biometric systems (MMBSs) are gaining acceptance among designers due to their performance superiority over the unimodal systems that have some limitations about accuracy, processing time and vulnerability to spoofing [28]. The advantages of multimodal biometrics have been reported with repetition in
the literature. It is indicated that combining multiple sensors, biometric features, units, matchers or enrolment templates of a user could improve the accuracy of a biometric system [30]. Also MMBSs were designed as a fusion of the various biometric data at different levels such as the feature extraction level, the score level or the decision level [31]. Detailed information about MMBSs can be found in [13].

3. Artificial neural network

Artificial neural networks have been applied to solve many problems in the literature [27, 32–37]. Learning, generalization, less data requirement, fast computation, ease of implementation and software and hardware availability features have made the ANNs very attractive for many applications [33, 34]. These fascinating features have also made them popular in biometrics as well [27, 32, 35–37]. Multilayered perceptron (MLP) is one of the most popular ANN architectures used in biometrics.

MLP structures consist of three layers: input, output and hidden layers. One or more hidden layers might be used. The weights are adapted with the help of a learning algorithm according to the error occurring in the calculation. The error can be calculated by subtracting the ANN output from the desired output. ANNs might be trained with many different learning algorithms [33]. To get better and faster performance, Taguchi experimental design technique was used to achieve optimum parameters of ANN structure, in this study.

4. Taguchi experimental design technique

The Taguchi experimental design technique is a well-known and robust design technique [38–41] involving an efficient planning of experiments in engineering applications [40]. This technique enables the optimum combination of design parameters to be determined from a minimum number of experiments, ensures the reproducibility of the experimental results and recommends devising a smallest possible fractional factorial design. With the help of this technique [40]:

1. The performance characteristics to be optimized are selected.
2. The experiments based on orthogonal array to obtain information on the system performance and its variability are designed and executed.
3. Mean and variance techniques to obtain optimal setting of parameters for robust system design are analyzed and used.

The results from the experimental runs can provide information in the form of the deviation from the mean of a set [41]. Verification of the robust design results is then performed [40]. Analyses of means are used to determine the best ANN parameters to achieve optimal performance. Analysis of variance is also used to determine the factors that have significant effects on the signal-to-noise ratio (SNR) [41].

5. Proposed system

As briefly expressed in the previous sections, fingerprint verification and face recognition topics have been received significantly more attention. The aims of this study are to establish a relationship among fingerprints
and faces (Fs\&Fs), to analyze this relationship and to generate the face features from fingerprints, requiring no priori knowledge about faces, using a system equipped with the best parameter settings. The majority of these aims were achieved in this work.

Our motivation in this study arises from biological and physiological conditions, as briefly reviewed below.

It is known that the phenotype of the biological organism is uniquely determined by the interaction of a specific genotype and a specific environment [42]. Physical appearances of faces and fingerprints are also a part of an individual’s phenotype. In the case of fingerprints, the genes determine the general characteristics of the pattern [42]. In dermatoglyphics studies, the maximum generic difference between fingerprints has been found among individuals of different races. Unrelated persons of the same race have very little genetic similarity in their fingerprints, parent and child have some generic similarity as they share half of the genes, siblings have more similarity and the maximum generic similarity is observed in the identical twins, which have the closest genetic relationship [43]. Some scientists in biometrics field have focused on analyzing the similarities in fingerprint minutiae patterns in identical twin fingers [42], and have confirmed the claim that the fingerprints of identical twins have a large class correlation. In addition to this class correlation, other correlations based on generic attributes of the fingerprints such as ridge count, ridge width, ridge separation and ridge depth were also found to be significant in identical twins [42].

In the case of faces, the situation is very similar with the fingerprints. The general characteristics of the face patterns were determined by the genes and the maximum generic difference between faces has been found among individuals of different races. Very little generic similarity was found in the faces of unrelated persons of the same race. Parent and child have some generic similarity as they share half of the genes, siblings have more similarity and the maximum generic similarity is observed in the identical twins, which bear the closest genetic relationship.

A number of studies have especially focused on analyzing the significant correlation among faces and fingerprints of the identical twins [42, 44–46]. The large correlation among biometrics of identical twins was repeatedly indicated in the literature by declaring that identical twins would cause vulnerability problems in biometrics based security applications [47]. For example, the similarity measure of identical twin fingerprints is reported as much as 95% [47]. In the case of faces of identical twins, the situation is very similar. The reason of this high degree similarity measure was explained in some studies as: identical twins have identical DNA except for the generally undetectable micro mutations that begin as soon as the cell starts dividing. Fingerprints and faces of identical twins start their development from the same DNA, so they show considerable generic similarity [48]. The similarity among biometric features of identical twins is given in Figure 2. Fingerprints of identical twins and a fingerprint of a stranger are given in Figure 3 [46].

Generally, it is a simple process for an individual to distinguish the fingerprints or faces of different people. However, distinguishing the fingerprints or faces of identical twins is a very difficult and complicated process, not only for the eyes and brain of a human being but also for biometric based recognition systems. The high degree of similarity in fingerprints and faces of identical twins, of examples are shown in Figure 4, converts this simple recognition process to a hard task.
Figure 2. Different biometrics of identical twins [45]. (a) Retina, (b) Iris, (c) Fingerprint (d) Palm print.

Figure 3. Fingerprints of identical twins (a, b) and fingerprint of someone not related (c) [46].
Figure 4. Fingerprints and faces of identical twins.
In the light of the explanations above, identical twins possess strong similarity in both fingerprints and faces. Increasing and decreasing distinctions of such similarities are also the same among non-related people. Consequently, this similarity supports the idea that there might be some relationships among fingerprints and faces. In order to investigate this assumption, an intelligent system was developed in this study. Developed ANN based intelligent system generates the inner face features including eyes, nose and mouth of an individual from only one fingerprint of the same individual. The system consists of two data enrolment modules, two feature extraction modules, an ANN module, a test and evaluation module and a face re-construction module. In the system, the data enrolment modules help to store biometric data of individuals into the biometric system database. During this process, Fs&Fs of individuals have been captured. Two types of data are used in this study. A real multi-modal database including Fs&Fs belonging to 120 people was established with the help of Biometrica model FX2000 for fingerprints and a Canon digital camera for faces. Only a frontal face image and index finger of the right hand were taken into consideration in this study.

The feature extraction modules were used to extract discriminative feature sets from the acquired data. In the fingerprint feature extraction module, extracting local and global feature sets of the fingerprints, including singularities, minutiae points and their parameters was achieved. Fingerprint feature sets were computed using a software development kit (SDK) developed by Neurotechnologija, and was selected to establish objective assessment for the F2FF prediction. This SDK is known as an effective, robust and reliable AFIVS in the field of biometrics and uses a minutiae-based algorithm. Detailed explanation of algorithms, information of fingerprint feature sets and their storage format are given in [49]. Face feature sets were obtained from the faces in face feature extraction module. 38 reference points were used for representing a face model in this work. To obtain the face feature sets, a feature-based face feature extraction algorithm was borrowed from Cox et al. [29] and it was fundamentally modified and adapted to this system. In comparison to the approach proposed in [29], increasing the number of reference points helped to represent the faces more accurately and sensitively. In addition, in this study face feature sets were shaped from x-y coordinates of the face model reference points, not distances or average measures as in [29]. It was also observed that feature sets having enough information about faces increase the system’s performance on achieving faces accurately. The reason why a feature-based method was preferred for obtaining the feature sets of the faces might be explained as follows: a minutiae-based approach was used to get the feature sets of the fingerprints. Actually, minutiae-based approaches rely on the physical features of the fingerprints. Therefore it is reasonable that the feature sets of both Fs&Fs should be obtained in the same way. Because of these reasons, a feature-based approach was used to obtain the feature sets of the faces as well.

The ANN module is used to analyze the existence of any relationship among Fs&Fs. This part of the system was implemented with the help of MLP structure. MLPs were trained with the input vectors and the corresponding output vectors with different parameter levels based on Mean Square Errors (MSEs) and Absolute Percentage Errors (APEs). In order to determine the best parameter settings of MLP structure, L-16 (8∗1 2∗3) Taguchi experiment is designed. Taguchi design factors and factor levels are given in Table 1. Training algorithms, the number of layers, the number of inputs and the transfer functions were main Taguchi design factors to be considered. Levels of Taguchi design factors were 8, 2, 2 and 2, respectively. MLP training algorithms that have been considered and used in this work were Powell-Beale conjugate gradient back propagation (CGB), Fletcher-Powell conjugate gradient (CGF), Polak-Ribiere conjugate gradient (CGP), Gradient descent (GD), Gradient descent with adaptive learning coefficients (GDA), One step secant (OSS), GDA with momentum and adaptive learning coefficients (GDAM) and Scaled conjugate gradient (SCG). In this
study, the number of layers was 3 and 4; and the number of inputs was 200 and 300. The transfer functions that have been considered and used were Tangent Hyperbolic (TH) and Sigmoid Function (SF).

### Table 1. Taguchi design factors and factor levels.

<table>
<thead>
<tr>
<th>Taguchi Design Factors</th>
<th>Levels</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1 2 3 4 5 6 7 8</td>
</tr>
<tr>
<td>Training Algorithms</td>
<td>CGB CGF CGP GD GDA OSS GDAM SCG</td>
</tr>
<tr>
<td>Number of Layers</td>
<td>3 4</td>
</tr>
<tr>
<td>Number of Inputs</td>
<td>200 300</td>
</tr>
<tr>
<td>Transfer Functions</td>
<td>TH SF</td>
</tr>
</tbody>
</table>

Via Taguchi design, the best MLP parameters were determined according to the MSEs. Main effects plots were taken into consideration while analyzing the effects of parameters on response factor. The main effects plots for this study are given in Figure 5 and Figure 6. They show the effects of each factor to the response factor, both in numerical and graphical representation. Plots of the main effects might help to understand and to compare changes in the level means, and to indicate the influence of effective factors more precisely. When the line is parallel to the x-axis, it means that each level of the factor affects the response in the same way and there is no main effect. When the line has a slope, then a main effect exists and different levels of the factor effect the response differently. Greater slopes display the magnitude of the main effects. By comparing the slopes of the lines, relative magnitude of the factor can be determined. Smaller values are better in Figure 5, and larger values are better in Figure 6.

![Main Effects Plot (data means) for Means](image)

**Figure 5.** Result table for mean of Means.
As can be seen from Figure 5, training algorithms have the largest main effect on MSE. Also, all other factors have considerably effected to the system performance according to the main effects plot for means. However, only this plot is not enough to derive a conclusion, for it is necessary to consider the main effects plot for SNR that is given in Figure 6.

In this work, the main effects plot for SNR has confirmed that the training algorithm has the largest main effect on the response factor. The number of layers in MLP structure and transfer function is also considerably effective. MSE was not mainly effected by the number of inputs. Finally it can be clearly said that considering the main effects plots, MSEs will get smaller if the parameter settings given in Table 2 were followed.

**Table 2.** Results for ANN factors.

<table>
<thead>
<tr>
<th>Factors</th>
<th>Parameter Settings</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Means</td>
</tr>
<tr>
<td>Training Algorithm</td>
<td>CGF</td>
</tr>
<tr>
<td>Number of Layers</td>
<td>3</td>
</tr>
<tr>
<td>Number of Inputs</td>
<td>200</td>
</tr>
<tr>
<td>Transfer Functions</td>
<td>SF</td>
</tr>
</tbody>
</table>

The CGF algorithm was determined the best training algorithm in the ANN parameter analysis in Taguchi design technique. The CGF algorithm updates weights and biases according to the conjugate gradient with Fletcher-Reeves updates. This algorithm calculates the mutually conjugate directions of search with respect to the Hessian matrix of \( f \) directly from the function evaluation and the gradient evaluation, but without the direct evaluation of the Hessian of the function \( f \) [50]. This algorithm is defined as follows [50]:
1: repeat
2: Compute $\nabla f(x^0)$ and $h^0 = \nabla f(x^0)$
3: for $i = 1, ..., n - 1$ do
4: replace $x^i = x^{i-1} + \lambda^{i-1} h^{i-1}$,
   where $\lambda^{i-1}$ minimizes $f(x^{i-1} + \lambda^{i-1} h^{i-1})$
5: Compute $\nabla f(x^i)$
6: if $i < n$ then
7: $h^i = -\nabla f(x^i) + \frac{\|\nabla f(x^i)\|^2}{\|\nabla f(x^{i-1})\|^2} h^{i-1}$
8: end if
9: $x^0 = x^n$
10: end for
11: until halting criterion

The quantity $\frac{\|\nabla f(x^i)\|^2}{\|\nabla f(x^{i-1})\|^2} h^{i-1}$ is added to the gradient at every iteration when $f$ is a quadratic form (positive definite); it results in a set of mutually conjugate vectors. The details of CGF algorithm can be found in references [51] and [52].

The ANN module was the most critical and important module of the system, because all modules of the system except the ANN module are on duty, either in pre-processing or post-processing of the main process. The ANN structure and its training parameters were determined to achieve accurate solutions. The training process was started with applying the fingerprint and face feature sets to the system as inputs and outputs, respectively. The sizes of the input and the output vectors were also 300 and 76, respectively. The size of input (the feature sets of fingerprints) is fixed to 300 because of their different lengths. If the size of input is larger than 300 it is fixed to 300. If the size of inputs is smaller than 300, zeros are added to the string to complete it to 300.

The system achieves the training processes with these feature sets according to the learning algorithm and the ANN parameters which were obtained via the Taguchi design method. Even if the feature sets of Fs&Fs were required in training, only fingerprint feature sets were used in test. It should be emphasized that these fingerprints used in test were to the system totally unknown biometric data. The outputs of the system for the unknown test data indicate the success and reliability of the system. The success and reliability of the system in achieving faces from fingerprints must be clearly shown by evaluating the ANN outputs against to the proper metrics.

In this study, to characterize the performance of the F2FF system, appropriate performance metrics were used. The results of the system were tested and the performance of the system was evaluated in 10-fold cross validation technique using traditional, numerical, graphical and visual evaluation platforms by considering the following metrics:

1. **Traditional Metrics:** These metrics are: false match rate (FMR), false non-match rate (FNMR) and the receiver operating characteristics (ROC) curve. The percentage of the impostor pairs, whose matching score is greater than a threshold value, is called FMR; and the percentage of genuine pairs, whose matching
score is less than the threshold value, is known as FNMR. FMR(t) & FNMR(t) representation is derived from the score distributions at all thresholds t. In the literature, it is more common to use a ROC curve to represent the performance and accuracy of the biometric systems.

2. **Numerical Metrics:** These metrics are: mean squared error (MSE), sum squared error (SSE), mean absolute error (MAE), absolute percentage error (APE) and Mean APE. MSE and SSE are two of the most used metrics to quantify the amount by which an estimator differs from the true value of the quantity being estimated. MSE measures the average of the square of the “error.” SSE is the sum of squared predicted values in a standard regression model [53]. In general, less the MSE and SSE, better the model performs in its estimation. As the name suggests, MAE is a quantity used to measure how close forecasts or predictions are to the eventual outcomes [53]. In this study, MAE is an average of the absolute errors per each coordinates of the feature sets of the faces. APE is the measure of accuracy in a fitted time series value. It usually expresses accuracy as a percentage [53, 54]. SSE, MSE, MAE, APE and MAPE (Mean APE) are defined in equations (1)–(5), respectively. In the equations, $O_i$ is the output of the ANN, $D_i$ is the desired value of the $O_i$ and $e_i = D_i - O_i$.

\[
MSE = \frac{1}{n} \sum_{i=1}^{n} (D_i - O_i)^2
\]  \hspace{1cm} (1)

\[
SSE = \sum_{i=1}^{n} (D_i - O_i)^2
\]

\[
MAE = \frac{1}{n} \sum_{i=1}^{n} |D_i - O_i| = \frac{1}{n} \sum_{i=1}^{n} |e_i|
\]  \hspace{1cm} (3)

\[
APE = \sum_{i=1}^{n} \frac{|D_i - O_i|}{D_i}
\]

\[
MAPE = \frac{1}{n} \sum_{i=1}^{n} \frac{|D_i - O_i|}{D_i}
\]  \hspace{1cm} (5)

3. **Visual Metrics:** To evaluate the system results comprehensively a visual evaluation platform is created by drawing the ANN outputs and the desired outputs in the same form. In order to achieve the visual evaluation easily, effectively and efficiently, a face re-construction module was developed. Face re-construction module is flexible software to convert the ANN outputs and desired outputs to visual face models. Indeed, it basically transforms the reference points of the face models to the lines. The developed software is capable of drawing the results of actual and calculated values of the same face in different platforms, in the same platform or on the real face image of involved individual as well.

Consequently, for a more objective comparison, the performance and accuracy of the system have been evaluated and presented on the basis of the combination of these metrics for illustrating the qualitative properties of the proposed methods as well as a quantitative evaluation of their performances.
6. Experimental results

In order to achieve the experiments, a compact software solution was developed. Dedicated software helps all of the system parts to be controlled properly and conducts the experiments easily and efficiently. The experimental image sets used in the test contain only fingerprint images of the test people. It should be emphasized that those image sets were unknown data sets for the system. As mentioned earlier, the inputs and the outputs of the system were vectors sized 300 and 76, respectively. Producing a face as close to the real one as possible is critical for this study. 10-fold cross validation technique was applied in this study for evaluating the performance. The developed systems were trained and tested 10 times with 10 different data sets. Max’s, mean’s, min’s and Standard deviations (STD DEV) of MSE, SSE, Min’s, Max’s, Averages and Standard deviations of MAEs, APEs and MAPEs were calculated for each fold and Min’s, Max’s, Averages and STD DEV’s of them were given in Table 3.

Table 3. Results for numerical analysis.

<table>
<thead>
<tr>
<th></th>
<th>MAX</th>
<th>MEAN</th>
<th>MIN</th>
<th>STD DEV</th>
</tr>
</thead>
<tbody>
<tr>
<td>MEAN’s of APEs</td>
<td>5.44755</td>
<td>4.66573</td>
<td>3.95484</td>
<td>0.57996</td>
</tr>
<tr>
<td>MAX’s of APEs</td>
<td>14.42700</td>
<td>8.84229</td>
<td>5.98220</td>
<td>3.35286</td>
</tr>
<tr>
<td>MIN’s APEs</td>
<td>3.64400</td>
<td>2.56562</td>
<td>1.88900</td>
<td>0.51441</td>
</tr>
<tr>
<td>STD DEV’s of APEs</td>
<td>3.40146</td>
<td>1.85673</td>
<td>1.09255</td>
<td>0.86028</td>
</tr>
<tr>
<td>MSEs</td>
<td>0.00086</td>
<td>0.00050</td>
<td>0.00064</td>
<td>0.00013</td>
</tr>
<tr>
<td>SSEs</td>
<td>0.78660</td>
<td>0.45800</td>
<td>0.58511</td>
<td>0.11938</td>
</tr>
<tr>
<td>MEAN’s MAEs</td>
<td>0.02290</td>
<td>0.01993</td>
<td>0.01796</td>
<td>0.00197</td>
</tr>
<tr>
<td>MAX’s MAEs</td>
<td>0.04745</td>
<td>0.03311</td>
<td>0.02553</td>
<td>0.00725</td>
</tr>
<tr>
<td>MIN’s MAEs</td>
<td>0.01641</td>
<td>0.01134</td>
<td>0.00861</td>
<td>0.00234</td>
</tr>
<tr>
<td>STD DEV’s of MAEs</td>
<td>0.01020</td>
<td>0.00682</td>
<td>0.00474</td>
<td>0.00185</td>
</tr>
<tr>
<td>MEAN’s of MAPEs</td>
<td>0.07168</td>
<td>0.06139</td>
<td>0.05204</td>
<td>0.00763</td>
</tr>
<tr>
<td>MAX’s of MAPEs</td>
<td>0.18983</td>
<td>0.11635</td>
<td>0.07871</td>
<td>0.04412</td>
</tr>
<tr>
<td>MIN’s MAPEs</td>
<td>0.04795</td>
<td>0.03376</td>
<td>0.02486</td>
<td>0.00677</td>
</tr>
<tr>
<td>STD DEV’s of MAPEs</td>
<td>0.04476</td>
<td>0.02443</td>
<td>0.01438</td>
<td>0.01132</td>
</tr>
</tbody>
</table>

In order to illustrate the accuracy of the proposed approach, obtained results were compared with the results of a previous study presented in [7] which shared the same goal. The comparison results are given in Table 4. Due to 10-fold cross validation technique not used in the previous study, in this comparison, means of 10-fold cross validation results of the proposed approach in this study and the results of the previous study were benchmarked. As shown in Table 4, clearly the proposed approach has better performance than the previous study, with significant superiority in MSE and SSE. Table 4 shows that Taguchi experimental design technique increases the accuracy and performance of the system. In addition, 10-fold cross validation technique obtained the opportunity to measure the robustness and accuracy of the system in a more reliable platform in comparison to previous studies. The results indicate that the proposed system performs the tasks with measures of high similarity to the desired values and its performance is also better than the previous study [7]. The ROC curves of the results for each fold of 10-fold cross validation technique are given in Figure 7. To represent the results in a more realistic format, test results and desired values of them have been drawn together in the same platform and given in Figure 8 for each fold of 10-fold cross validation technique. All evaluation results including traditional,
Numerical and visual metrics were obtained from the raw ANN results that were scaled in between [0 1], without any post processing like rescaling.

Table 4. Results for MSE and SSE.

<table>
<thead>
<tr>
<th></th>
<th>Previous Study [7]</th>
<th>Proposed Approach</th>
</tr>
</thead>
<tbody>
<tr>
<td>MSE</td>
<td>0.00110</td>
<td>0.00050</td>
</tr>
<tr>
<td>SSE</td>
<td>3.24160</td>
<td>0.45800</td>
</tr>
</tbody>
</table>

Figure 7. ROC curves of the results for 10-fold cross validation.

(a) The first 10-fold cross validation results

(b) The second 10-fold cross validation results

Figure 8. Test results for both desired and achieved face parts for each 10-fold cross validation.
Figure 8. Continued.
The APE, MAE and MAPE values belonging to all test results for each fold of 10-fold cross validation are shown in Figure 9. Averages of all APEs, MAEs and MAPEs are given in Figure 10.

**Figure 8.** Continued.

**Figure 9.** APE, MAE and MAPE values of generated faces.
The results indicate that the proposed system is very successful in recovering faces from only fingerprints.

7. Conclusion and future work

This study presents a novel approach based on ANN for generating faces from fingerprints without requiring any priori knowledge about faces. The experimental results have shown that Taguchi experimental design technique very much helps design better ANN structures, which achieve better performance, to represent the
close relationships among fingerprints and faces. 10-fold cross validation technique has proved the high accuracy of the system in three different evaluation platforms. Owing to 10-fold cross validation technique, the results of the system were evaluated properly, and reliability and robustness of the system were well demonstrated. For example, each fold has more than ten close matches in the nose and mouth areas.

The difficulties faced during the implementation of the system were: establishing a multi-modal database covering fingerprints and faces, the lack of evaluation metrics to determine the results clearly, developing the software throughout the study, applying new concept to the practice, and dealing with many parameters.

It is concluded that the fundamental novelty and diversity of the proposed approach, over most other studies in biometrics, is representation of the relationships among biometric features, such as fingerprints and faces, and to demonstrate the approach which can successfully predict face features from only fingerprints using the ANN that was re-configured with the best parameter settings predicted via the Taguchi experimental design technique. The results have shown that the prediction accuracy improved with the help of Taguchi experimental design method.

The results of this study confirmed once more that there are strong relationships among Fs&Fs. It is expected that this study will lead to create new concepts, research areas, and especially new applications in the field of biometrics and forensics. The authors are studying the modeling of these relationships to demonstrate, not only experimentally, but also mathematically the efficacy of this approach for further studies.

References


[22] Zhang, Q., Yan, H., Fingerprint classification based on extraction and analysis of singularities and pseudo ridges, Pattern Recognition, no. 11, pp. 2233-2243 (2004)


[29] Cox, I.J., Ghosn J., Yianilos, P.N., Feature-Based Face Recognition Using Mixture Distance, Computer Vision and Pattern Recognition, pp. 209-216 (1996)


[35] Sagar, V.K., Beng, K.J.A., Hybrid Fuzzy Logic And Neural Network Model For Fingerprint Minutiae Extraction, International Joint Conference on Neural Networks, pp. 3255 -3259 (1999)


[48] Bodmer, W., McKie, R., The Book of Man: The Quest to Discover our Genetic Heritage, Viking,1994


